# TAPHONOMY

# Yannicke Dauphin

UMR IDES 8148, Université P.& M. Curie (UPMC) and Paris Sud, France

# Jean-Philip Brugal

Aix-Marseille Université, CNRS, UMR7269 LAMPEA, Aix-en-Provence, France

**Keywords:** archaeology, geology, palaeoecology, palaeoanthropology, palaeontology, analytical techniques, preservation.

# Contents

| 1. Definition and History<br>2. Why Study Taphonomy? |
|--|
| 3. Objects   |
| 3.1. Biological Remains                              |
| 3.2. Artefacts                                       |
| 4. Factors of Accumulation and Alteration            |
|  |
| 4.1. Biological Factors                              |
| 4.2. Physical Factors                                |
| 5. Methods   |
| 5.1. Sample Collect                                  |
| 5.2. In the Lab                                      |
| 5.2.1. Macroscopic Observations                      |
| 5.2.2. Microscopic Observations                      |
| 5.2.3. Mineralogy - Crystallography                  |
| 5.2.4. Biogeochemistry                               |
| 5.3. Experimental Taphonomy                          |
| 6. Some Examples                                     |
| 6.1. Color Changes                                   |
| 6.2. Structural Alterations in Bone and Teeth        |
| 6.3. Chemical Alterations in Bone and Teeth          |
| 6.4. Various Examples                                |
| 7. Conclusion  |
| Acknowledgements                                     |
| Glossary   |
| Bibliography   |
| Biographical Sketches                                |
|  |

### Summary

Taphonomy is the science of "law of embedding". The term, concept and methodology have recently growing in the research field of palaeontology, palaeoecology, archaeology and palaeoanthropology. We propose here to better explain, through some limited instances, what is taphonomy and the main stakes for many studies to consider it. It is just a glance to point out the importance of Taphonomy which becomes more and more an essential topic developed initially to reconstruct ecology of Past life. Taphonomy is now used to reconstruct palaeoenvironment as well as palaeoethology, and social life of past human societies. It can pretend too, to be envisioning for the future.

# **1. Definition and History**

Since the XIX century, researchers wonder to know the origin of fossil assemblages as well as site formation processes involved in fossil and artifact accumulations. Most of these queries have been developed in the field of quaternary palaeontology in relation with the presence of prehistoric (*antediluvium*) Cave Man in Europe (*e.g.*, Buckland 1823, Tournal 1833, Schmerling 1833, 1834, Dawkins 1874, Fraipont 1896).

This was enlarged in the first half of XX century in palaeontology, archaeology or palaeoanthropology with the discoveries of Early Pleistocene sites from East and South Africa or Asia (China) with fossil human remains (*Australopithecus, Homo*), raising up the relationship between lithic artifacts and bones and tooth remains mainly of ungulate (herbivore) species (*e.g.*, Martin 1907, Pei 1938, Breuil 1939, Hughes 1954, Leroi-Gourhan 1955, Dart 1957). If this relation seems mostly trophic (diet), the use of mammal bones as tools stays questionable since the early time of hominids (*e.g.*, "osteodontokeratic" culture of Dart from South-African sites) even if more recent works seem to demonstrate bone use at some extend.

One of the main questions concerns the preservation of biological or cultural signals through a fossil accumulation and the meaning of what it is usually called a "site" or "locality". The palaeontological studies search to understand the cause of death and concentration of fossils, as well as the modification of organic remains in sediments to explain the possible survival of then as fossils. We can mention the pioneer work made by Weigelt (1927) with the concept of "biostratinomy", and also Quenstedt (1927) with the term of "taphocoenosis" and Wasmund (1926) speaking of "thanatocoenosis" (=fossil or death assemblage) (see Boucot 1953).

The final goal of these studies concerns especially a branch of palaeontology, named palaeoecology (see Gifford-Gonzalez 1981) which consists «... à rechercher les conditions dans lesquelles ont vécu et se sont déposés les assemblages paléontologiques, en rapport avec les sédiments qui les contiennent » (Fisher 1969), deciphering the intimate relation between environment (climate, physiographic, chemical...) and organisms. In this context the principle of actualism or uniformitarism based on analogy (e.g., geological works of C. Lyell, L. Agassiz) is essential, based on modern observations and process (natural or even ethnographical) as well as experimental studies. They build a rich and diversified referentials, explaining all kind of variation, very useful for comparative purposes to interpret fossil accumulations in terms of life and interactions in the Past.

Such investigations are crucial and bring important issues thanks to the combination of studies in Earth Science, Life Science and Human and Social Science. They start to be greatly developed in palaeontology, vertebrates as invertebrates or flora evolving within terrestrial or humid ecosystems, and more especially for the Quaternary studies and Human evolution.

It is in 1940 that the term "Taphonomy" (from Greek: *taphos*=to bury and *nomos*=law), the science of the laws of embedding, was coined by a Russian paleontologist I.A. Efremov (specialist of palaeozoïc amphibians) as a sister-discipline of palaeontology or palaeoecology, in part due to due to the incomplete nature of the fossil records (but see remarks by C. Darwin, G.G. Simpson or S.J. Gould), in order to compensate for phyletical or palaeoecological reconstructions, and beyond (see Leroi-Gourhan 1984).

According to Efremov, taphonomy is certainly not a separate science. It is important here to quote « *the indissoluble unity of geological-biological analysis* » in this thought on « *the study of the transition (in all its details) of animal remains from the biosphere into the lithosphere*" (p. 85), definition *princeps* of the Taphonomy. Two main stages can be seen: i) pre-burial with many biological interactions and ii) post-burial with strong effects of physic-chemical factors (diagenesis).

Taphonomy, as a word, is recent, but Efremov showed that the studies of the fossilization processes are older. As soon as 1490, Leonardo da Vinci described marine mollusk shells and corals in Italian Alps, far away from the sea. Because floods carry objects from top to bottom, not upwards, da Vinci concluded that organisms had been buried before the mountains were raised, when an ocean was there (Cadée 1991, Baucon 2010).

Combining biological and geological knowledges to understand a site is taphonomy. The association of biology and geology is also stipulated by A. d'Orbigny (1802-1857): "Car la paléontologie, sans les données géologiques les plus rigoureuses, devient simplement de la zoologie fossile, et non de la paléontologie réelle." (*in* Gaudant 2002). The state of preservation of fossils was used by Buckland (1823) to understand the formation of vertebrate sites.

Gressly (1861) compared the left - right valve ratios in modern and fossil bivalve shells to estimate hydrodynamic conditions, a taphonomic method. As soon as 1927, Weigelt described various modes of death and decomposition of modern vertebrates; he noticed the role of insects and compared the data of experimental works with fossils. The monograph was called "the first major work on vertebrate taphonomy" (Lyman 1994), after it was translated in English (1989).

# 2. Why Study Taphonomy?

As stressed by many authors, taphonomic analysis or the "study of process of preservation and how they affect information in the fossil record" (Behrensmeyer & Kidwell 1985: 105) constitutes a primordial research step on any kind of past fossil assemblages studies in order to reconstruct palaeoenvironment, species ecology and ancient behavior into the fields of palaeoecology (Andrews 1995, Hart 2012, and Figure 1), palaeobiology or palaeoanthropology.

Such approach needs to consider geological and sedimentological parameters in order to better understand the bias in a fossil distribution regarding diversity, density and degree of preservation/fragmentation according to structures or organs. If "decay processes are responsible for substantial preservational bias in the fossil record" (Allison 1990), their

studies constitute a real gain in palaeobiological information as well as taphonomic agents as humans or carnivores (Palmqvist & Arribas 2001; Figure 2). It becomes a subtle integration and reflection between biological systems (molecular, cells, individuals, population levels) and ecological systems (community, ecosystem, landscape, ecoregion, and biosphere) (Pavé 2007) to be appraised within physical, abiotic context (soil, climate, rocks, etc.).

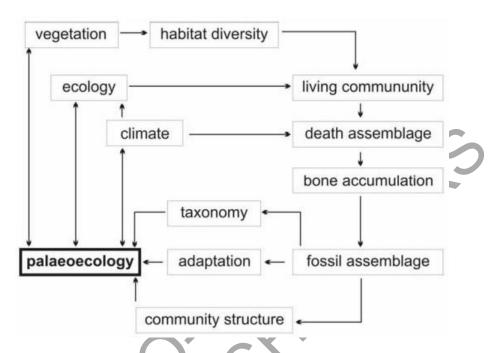


Figure 1. Interrelations between taphonomy and palaeoecology (redrawn from Andrews 1995)



Figure 2. Nineteenth representation of a striped hyena with a carcass (aquatint by W. Daniell)

Living organisms are complex heterogeneous structures, the parts of which differ in size, shape and composition. Because of these differences, the different components of an organism do not behave similarly during the predation and after the death (Figure 3). And because organisms are different, these behaviors also differ when different species

are taken into account. Thus, organisms become preserved, fossilized or are destroyed. Actually, probably no fossil biota is preserved bias-free, even those where skins, soft tissues or colors are still visible. Among plants and animals, some organisms have the ability to produce mineral parts; shells as a protection, teeth for eating... These mineralized parts are more likely to be preserved than the organic soft parts, thus the fidelity of the fossil record is highly variable.

Studying taphonomy provide data on the environment of a region through the floristic and faunistic compositions, but also on the geological history of sites. The most difficult problem is to unravel the biological and geological signals in the fossil or archaeological remains to reconstruct the history of the region.

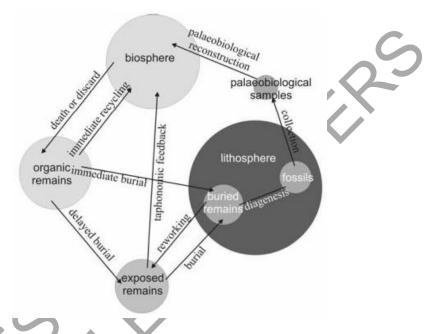


Figure 3. The taphonomic paradigm according to Behrensmeyer (redrawn from http:// www.mnh.si.edu /ete /

ETE\_People\_Behrensmeyer\_ResearchThemes\_BonesofAmboseli.html ). The number of samples decreases at every stage of the taphonomic process.

This new approach of fossil collections and sites show a large expansion for the Quaternary studies with the publication of several books in the early eighties: Binford (1981 « Bones »). Brain (1981 « The hunters or the hunted »), Behrensmeyer & Hill (1980 « Fossils in the making »), Shipman (1981 « Life history of a fossil »), followed by some important text-books (ex. Klein & Cruz-Uribe 1984, Lyman 1994, Martin 1999). They are seminal works and they emphasize both on Plio-Pleistocene hominid sites of East and South Africa (respectively open-air and karstic sites) and on modern referentials from natural settings and various biotopes (ex. Nunamiut, Bushman, Hottentots) (e.g., Yellen 1977, Gifford-Gonzalez 1989, Binford 2001).

Since, taphonomic studies show a spectacular growth: first about the "objects" analysed and involving new methodological tools, sometimes sophisticated (*i.e.*, SEM,

tomography, synchrotron, see below) and experimental studies (*e.g.*, Voorhies 1969, Behrensmeyer 1978, Schiffer 1976, Yellen 1977, and see Costamagno et al. 2008). The "objects" are of course biological (fauna, flora), from skeletal parts, pollens, or DNA and isotopes ..., but also material human production (ex. lithic/metal artifacts, art, potteries...) or waste, both from archeological and historical times. They have generated new disciplines (bioarchaeology, geoarchaeology, micromorphology...) seeking to envision the integrity and meaning of past remains. They have renewed our understanding on many of Past life and dynamic of all ancient environments and, as such, Taphonomy became an essential federative concept.

#### 3. Objects

They concern all kind of natural and cultural materials considered at macroscopic and microscopic scale, and look for the degree of preservation and completeness undergoing time effect.

# **3.1. Biological Remains**

The most part of fossil remains is composed of external (shells, tests...) or internal skeletons. Despite about one hundred of biominerals are known, three categories are dominant: calcium carbonates (corals, mollusks, echinoderms..., calcium phosphates (bones and teeth) and silica (diatoms, radiolaria, sponges). The size, the shape, but also the mineralogy, the structure or histology, the organo-mineral ratios, the chemical composition.... all these parameters play a role in the fossilization processes. As well, organic components (ex. DNA) are subjects to degradation, and soft tissues are also concerned by taphonomy (Aufderheide 2011).

# 3.2. Artefacts

Into the prehistoric context, the lithic industry used a large variety of raw matter: flint, quartz and quartzite, volcanic rocks...They were used as tools by man and use-wear trace studies (*i.e.*, Keeley 1980) allow inferring their functions and the worked material (bone, skin, vegetal, etc.). The post-depositional alterations constitute strong restrictive factors for the interpretation of prehistoric toolkits (see review Claud & Bertran, Lhomme et al., *in* Thiebault et al. 2010).

Another field concerns cave art painting and engraving with decorated panels showing diverse alterations (physical and/or chemical, biological, anthropogenic) as illustrated by the famous upper Palaeolithic site Chauvet Cave in South of France (Kervazo et al., *in* Thiebault et al. 2010). Indeed, all kinds of cultural productions are concerned by preservation and taphonomy approaches are more and more generalized and basically used by archaeologists *s.l.* which is not a real misuse of the term (Lyman 2010, Dominguez-Rodrigo et al. 2011).

# 4. Factors of Accumulation and Alteration

Skeletal material can be concentrated by biological and physical processes. Predation, a biological process, has long been recognized as an important mechanism in the

concentration of small vertebrate skeletal remains leading to fossil sites (Mellett 1974, Dodson &Wexlar 1979, Andrews 1990). Physical processes are mainly wind or fluvial/hydraulic transports. Some studies have attempted to identify signatures such as fragmentation and skeletal element representation left by different predators (*e.g.*, Dobson & Wetzlar 1979, Hoffman 1988, Andrews 1990), whereas others have investigated the microscopic and chemical modifications induced by digestion on bones and teeth (Rensberger & Krentz 1988, Andrews1990).

### 4.1. Biological Factors

Depending on the size of predator and prey, data are more or less abundant. Consumption by predators produces damages on all bones and teeth from all sized preys (from micro [rodents], meso [lagomorphs], macro and megafauna). Thus, each identified paleontological remain (species and osteology) provides an interesting taphonomical sketch and contributes to reconstruct successive and different taphonomical histories from one site.

Rodents (Rodentia) are small size-species characterized by continuously growing incisors and are among the more diverse mammal categories. Common rodents include mice, rats, voles and also pets: guinea pigs and hamsters. They are a main source of food for predators, so that their taphonomy is complex.

Three main categories of predators hunt for rodents: reptiles, birds of prey ("raptors"), and mammals (small carnivores), which can also catch other small vertebrates (insectivores, amphibians). Unfortunately, few studies are devoted to reptiles. One of the very rare papers dealing with amphibians is dedicated to the site of Atapuerca (Middle Pleistocene) (Pinto Llona & Andrews 1999). As soon as the capture occurred, alteration begins and differs. Birds of prey use "talons" or claws to catch the prey in flying, while most mammals use their claws to grab the prey and kill it with jaws. For example, a mouse is caught by a cat with claws, and killed with teeth.

Usually, only one small round hole is visible in the skull of the mouse. Differences exist due to the size of the prey and the predator (Haynes 1981, 1983 for large mammals). A second step inducing differences is the ingestion of the prey. Birds have no teeth, but birds of prey have a short and thick tongue to manipulate the food. Then the prey goes through the esophagus and stomach. Acids and enzymes (mainly pepsine) and the muscular contractions of the gizzard (the second part of the stomach) reduce the pieces of flesh in nutrients that are absorbed through intestinal tissues. At the end is the cloaca, for products from the digestive and urinary systems.

Several hours after eating, the indigestible parts (bones, feathers...) are compressed into a pellet in the gizzard, and then go back to the first part of the stomach. It will remain there for up to 10 hours before being regurgitated, so that any more prey can be swallowed until the pellet is rejected. Other birds used a powerful beak to tear pieces of flesh only.

Observations in nature are not easy, so that zoological gardens and reserves are useful to study the habits of various birds and to collect the regurgitation pellets. The grade of

digestion differs according to the species (Andrews 1990). It has been shown that for an individual, the acidity of the stomach juice depends on the age of the animal (Smith & Richmond 1972, Raczynski & Ruprecht 1974, Duke et al. 1976, Dodson & Wexlar 1979). Thus, the pattern of preserved bones for a unique predator can vary (Figure 4) (Bruderer & Denys 1999, Gomez 2005).

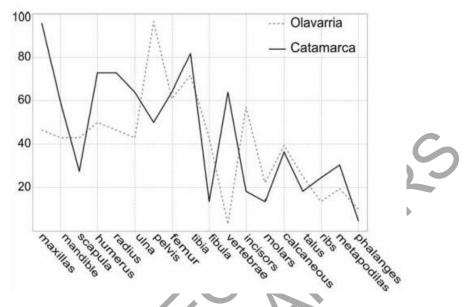


Figure 4. Patterns of bone preservation (% elements) for a single predator in two sites (data from Gomez 2005).

Comparisons based on the prey species found in regurgitation pellets show that some predators are opportunists, whereas some birds eat only one or two species. Thus, studying the pellet contents provide data on the predator, but also on the faunal composition of a zone. The type and number of bones and teeth in a pellet are also a source of information, despite some variability. Beyond quantitative data, qualitative criteria are also available: number of broken bones, breakage pattern, etc.

Pieces of flesh and bones are broken and eaten by special teeth in mammals, and salivary glands in the mouth produce enzymes able to etch the food. Most carnivorous mammals consume the bones of their prey, and are able to digest bones, in order to assimilate Ca, P, K and other essential elements. Thus, skeletal remains in their faeces are rare or very small smooth fragments. Due to the calcium contents of bones, boneeaters as some carnivores (especially hyenids, canids) produce hard faeces which can become fossilized (named coprolites: see Esteban Nadal et al 2011, Ogara et al 2011 for scat analysis and potential tapho- and eco-logical input). Information on behavior of recent bone-accumulators, notably hyenid, has been developed and recent studies is now currently made on modern carnivore dens or lairs to evaluate the bone selection and representation, breakage, category/size of preys and tooth marks for example. (e.g., Egeland et al. 2008, Prendergast et al. 2008, Fosse et al. 2011). One of the few studies dedicated to the comparison of a large range of modern and fossil predator tooth marks from dinosaurs to mammals is due to Pobiner (2008). On the other hand, tooth marks from a single predator vary according to the bone and the body size (Egeland et al. 2008) (Figure 5).

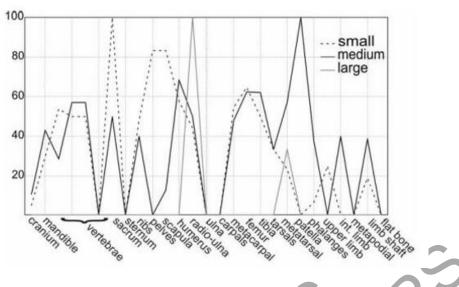


Figure 5. Tooth mark frequency by skeletal element and body size in a modern hyena den (data from Egeland et al. 2008).

Prendergast & Dominguez-Rodrigo (2008) also emphasize the differences between various sites for a single predator species, and hypothesize the rôle of young pups, less efficient in catching, killing or gnawing preys.

While predation is a main factor of accumulation, other factors contribute to create and modify the primary accumulation. We can mention the special case of a large rodent like the porcupine (genus *Hystrix*) which tends to select and accumulate dry bones in order to intensively gnaw them to control their growing incisors; most of the time bones are sculpted and morphological features totally disappeared. Herbivores (caprids, cervids, etc.) can also modify bones or antlers for calcium sources and chew the tip of long bones remodeled as 'forked' ends; this is named osteophagy. Another important alteration process is the bioturbation due to the biological activities of organisms within soils and sediments. Badgers are powerful bioturbators for burrowing and predation purposes (Johnson 2004, and see Mallye 2011 for use of badger remains in cave deposit).

Cavities are often used by secondary bone accumulators. Bioturbation is not only from large animals trampling on remains (trampling). Bioturbation can be due do small animals (worms, insects), plants (roots) acting on buried remains. In such processes, not only the topography of the soil or sediment is modified by tunnels, holes, disturbed layers..., but chemical changes are also induced. Proliferation of roots has an impact on the composition of the soil, because cells absorb water and nutrients. Roots can also initiate intrusion through mineral dissolutions (Gabet et al. 2003). Many invertebrates live in soils, physically or chemically altering structure and composition of soil itself, but also of biological remains. Earthworms are abundant and sometimes large, so that they have been well studied in modern soils (Edwards & Bohlen 1996) or archaeological sites. Small mammal bones can be transported in both horizontal and vertical directions, and then mixed with bones from different origins. Moreover, they can be broken during the transport (Armour-Chelu & Andrews 1994). Vertebrates such as rodents (marmots, prairie dogs, ground squirrels) or moles are also efficient

bioturbators. In term of preservation and alteration, the activities of living organisms can affect their near environment and associated remains, and the term ichnology concerns all studies of traces (footprints, tracks, etc.) which can also cover archaeological context (see Baucon *et al* 2008 for a review).

Predation also exists in the sea, but direct observations are more difficult. However, predation yahoomarks have been described on ammonite shells (Martill 1990, Kauffman 2004, Andrew et al. 2011). Bite marks were attributed to fishes or reptiles.

#### 4.2. Physical Processes

Mechanical and climatic actions, on dead organisms in relationship with location into ecosystem, have strong incidences on preservation state and on their representativeness within fossil assemblages.

By instances, once a pellet has been regurgitated, it is exposed to physico-chemical weathering processes on the soil. Depending on the intensity of the modifications induced by the predation stage, the post-burial alteration greatly differs. Within a regurgitation pellet, for a given bone, Terry (2004) has shown that the surface in contact with a forest soil has a higher degree of bone modification than the surface that is exposed to the air.

Water, aeolian or gravity transports, cyclic climatic actions in term of cycle (dry/wet, warm/cold, freeze...) induce important changes both in the internal structure of elements and external surface. They are demonstrated by dispersal, fragmentation, rounding and weathering of material and then loss of scientific information's about the analyzed materials. During glacial periods, the process of cryoturbation affects both sediment and organic remains: experimental gelifraction clearly shows the fragmentation, cracking until total disintegration of bones and teeth (Guadelli 2008).

Alterations related to these physical and biological processes are described in 5.2.1 (Macroscopic observations) and 6 (Various examples).

# 5. Methods

According to Efremov (1940), taphonomy encompasses "Microscopical and chemical analyses of fossilized remains, conducted together with experimental work of artificial fossilization, and with observations of the destruction of the surfaces of organic remains in different surroundings". Thus, taphonomy begins with the collect of samples on a site, but never ends! A large range of methods and techniques are used, and new analytical techniques can help to unravel some problems. Depending on the nature and abundance of the object to be studied, destructive or non destructive analyses will be used. Applications related to these techniques and methods are illustrated in part 6 (Some examples).

# 5.1. Sample Collect

The methods to collect sample are diverse according to the type of fossils (ex. pollen,

rodents or large mammal's remains) and rocks and sediments (and then type of site: from open-air to karstic cavities, or cores). The example of excavations made on archaeological sites is interesting (Leroi-Gourhan & Brézillon 1966). A grid is set (at least 1sq-meter) and all objects of a certain size for fragments (cm) or relevant specimens in term of technical, anatomical or taxonomical elements are spatially located (x, y, z), sketched or photographed. These data are associated with the indication of orientation, slope, and all other possible observations (burnt, broken, connection with other surrounding material, oxide impregnation, carbonate encrustement ...). Calculation on density of remains per weight of sediment sampled is another possibility for microfossils. Large samples are seen with naked eye. However, in terrestrial or marine sediments, abundant remains are microfossils. In this case, large bags of sediment are collected to be studied during the field work or later in the laboratory. Dry or wet sieving is done to separate, to sort and to concentrate the sedimentary particles (fossils included). The size of the mesh of the sieve will determine what you find in the sample (Bowler & Hall 1989). Then, the collect of fossils (pollens, foraminifera, rodent teeth...) is done looking under a microscope.

#### 5.2 In the Lab

#### **5.2.1.** Macroscopic Observations

In the case of bones and teeth of vertebrates, especially mammals and ungulates for the prehistoric subsistence, several criterions are used to evaluate the impact of taphonomy factors. They are i) natural (physical, chemical) more or less controlled by climatic and pedologic formations and/or ii) biological from diverse agents, from microorganism, invertebrates (insects, mollusks...) and vertebrates (birds, rodents, carnivores...), including humans. Many studies, both from direct natural observations and experimental depicted the variety of damage occurring on bones and teeth which ultimately tend to completely destroy the fossil material. As final instances, teeth are the most mineralized parts of a skeleton - carbonate hydroxyapatite  $(Ca_{10}(PO_4)_6(OH)_{2})$  almost 96% - and they would preserve better than bones: fossil mammals assemblages made essentially by teeth, or teeth fragments, are clearly strongly taphonomically biased.

Moreover, we can also suspect combination of several factors, acting before and then after burials (with the possibility for buried materials to start diagenesis, then to be exhumed again, and start another "cycle"). As a simplified sequence (Figure 6), a prey died of natural causes (ex. age, epizootic, flooding...) or predation (meat-eaters: hunting, scavenging), and its skeletal parts are fragmented, gnawed, dispersed, transported, trampled, burned...and the remains start to be buried in a soil with roots or insects/arthropod actions, and tend to decay and become fossilized or to disappear. All these modifications have to be depicted and interpreted, and many works discuss about involved changes and processes; the study of marks are essential (traceology/tribology) and they are observed with lens or SEM. In this paper, only some examples can be quoted, focusing on vertebrate bones and archaeological context (Bonnichsen & Sorg 1989).

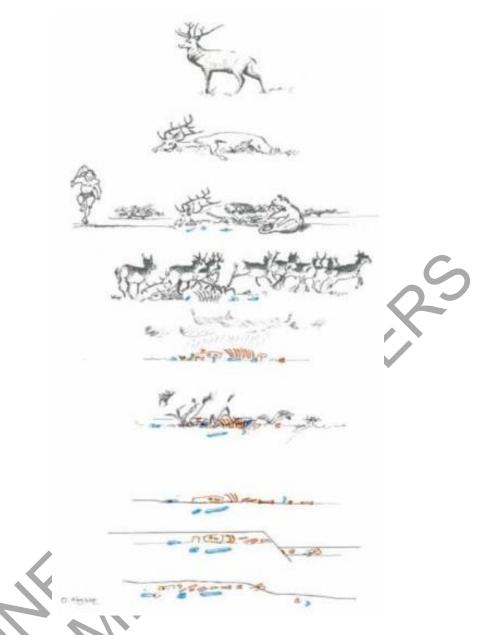


Figure 6. Simplified taphonomic sequence (by O. Keyser, modified from Shipman 1981).

**Bone Weathering**: It is possible to categorize the state of bone surface according to the duration of exposure under local conditions (temperature, humidity, soil chemistry), as defined by Berhrensmeyer (1978) from modern bones in equatorial setting (Amboseli, Kenya). Six stages have been described, related to time of exposure and most material is decomposed in 10 to 15 years; moreover, bones from young individuals or less than 100 kg weather more quickly than bones or large animals and adults (Figure 7). Similar analyses have been done for large mammals in a temperate environment (Andrews & Armour-Chelu 1998, Fosse et al 2004). Such studies are useful and are actually applied for forensic science (Ubelaker 1997).

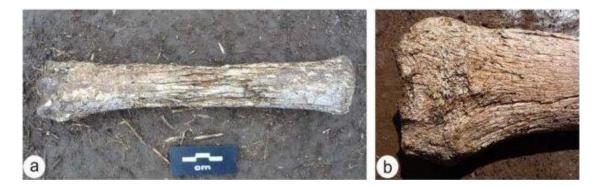


Figure 7a. Metapodial of zebra heavily weathered (Kenya, Shompole); note exfoliation of cortical bone, especially on shaft (ph. JPB); b. Proximal end of radius of giraffe heavily weathered (Kenya, Shompole), ph. JPB.

**Bone transport:** Water's actions on single bone or fossil assemblages are diverse and dynamic, and constitute an important "taphonomic motor" (Brugal 1994) with a large spectrum of processes. Hydrodynamic action plays as centripetal or centrifugal mechanism, dispersing or concentrating objects (Isaac 1983). In the case of water transport, anatomical elements behave differently and following the composition of assemblages, it is possible to infer the degree of perturbation/transport (Voorhies 1969, Badgley 1986a, b) (Table 1) in connection with sedimentary context.

|                 |                           | Cause of Mortality        |                           |
|-----------------|---------------------------|---------------------------|---------------------------|
|                 | Predator                  | Natural Trap              | Sudden Disaster           |
| Condition of    | -                         |                           | -                         |
| Transport       |                           | -                         | -                         |
| None            | articulation present      | articulation present      | articulation common       |
|                 | most specimens clustered  | specimens clustered       | specimens clustered       |
|                 | high % juveniles          | moderate % juveniles      | moderate % juveniles      |
|                 | punctures, scratches      | little bone damage        | little bone damage        |
|                 | hydraulic sorting absent  | hydraulic sorting absent  | hydraulic sorting absent  |
| By predators or | articulation variable     | articulation variable     | articulation variable     |
| Scavengers to   | some specimens clustered  | some specimens clustered  | some specimens clustered  |
| A focal area    | moderate-high % juveniles | low-moderate % juveniles  | low-moderate % juveniles  |
|                 | punctures, scratches      | punctures, scratches      | punctures, scratches      |
|                 | hydraulic sorting absent  | hydraulic sorting absent  | hydraulic sorting absent  |
| By currents     | articulation absent       | articulation absent       | articulation absent       |
|                 | specimens scattered       | specimens scattered       | specimens scattered       |
|                 | moderate % juveniles      | low % juveniles           | low % juveniles           |
|                 | polish and abrasion       | polish and abrasion       | polish and abrasion       |
|                 | hydraulic sorting present | hydraulic sorting present | hydraulic sorting present |

Table 1. Taphonomic characteristics of hypothetical fossil assemblages. Each assemblage results from one cause of mortality and one condition of transport. From Badgley 1986b.

TO ACCESS ALL THE **46 PAGES** OF THIS CHAPTER, Visit: http://www.eolss.net/Eolss-sampleAllChapter.aspx

#### **Bibliography**

Note: studies and bibliography involving 'taphonomy' increase of spectacular manner from the last two decades and concern ecology, environment, evolutionary, anthropology, paleontology, archaeology, geology researches. To give a short and rapid example, the word 'Taphonomy' indicates e.500 000 ref./links with Yahoo, e.170 000 with Google, e.90 000 with Scirus, e.27 000 with Google scholars, e.4200 with Sciencedirect, etc. Then, here, only very restricted, biased and limited references are given.

Allison P. A. (1986). Soft-bodied animals in the fossil record: the role of decay in fragmentation during transport, *Geology* 14, 979-981. [One of the first papers dedicated to experimental taphonomy on organisms without a skeleton of hard shell].

Allison P.A. (1990). Decay Processes. *In*: Paleobiology: A Synthesis. Briggs D.E.G., Crowther P.R. eds, Oxford, Blackwell, pp. 213-216. [A short overview of the topic].

Allison P.A., Briggs D.E.G. (1991). Taphonomy of non-mineralized tissues. *In:* Taphonomy: Releasing the data locked in the fossil record. Allison P.A. and Briggs D.E.G. eds, New York, Plenum Press, pp. 26-70. [A selected paper in a book dedicated to Taphonomy].

Andrew C., Howe P., Paul C.R.C., Donovan S.K. (2010). Fatally bitten ammonites from the lower Lias Group (Lower Jurassic) of Lyme Regis, Dorset. *Proc. Yorkshire Geol. Soc.* 58, 81-94. [Taphonomy on marine invertebrate, a rare topic].

Andrews P. (1990). Owls, Caves and Fossils. Chicago, Univ. Chicago Press, 231 p. [Important book about ecology, ethology and taphonomy of micromammals and their predators, mainly birds of preys].

Andrews P., Armour-Chelu M. (1998). Taphonomic observations on a surface bone assemblage in a temperate environment. *Bull. Soc. géol. Fr.* 169, 433-442. [Study on disarticulation and climato-edaphic processes on domestic carriors in a temperate setting, with marks observed on bones].

Armour-Chelu M., Andrews P. (1994). Some effects of bioturbation by earthworms (Oligochaeta) on archaeological sites. *J. Archaeol. Sci.* 21, 433–443. [A neglected topic: bioturbation is a main factor in taphonomic biases].

Aufderheide A.C. (2011). Soft tissue taphonomy: A paleopathology perspective. *Int. J. Paleopathology* 1, 75-80. [Review of the known effects of the postmortem alterations in structure and biochemical contents in specimens of human mummies].

Badgley C. (1986a). Taphonomy of mammalian fossil remains from Siwalik rocks of Pakistan. *Paleobiology* 12, 119-142. [Fine description of bone accumulation (skeletal elements, degree of articulation and distribution, age frequency, duration) from 4 sedimentary fluvial environments and major precision on dispersal groups of bones or Voorhies groups].

Badgley C. (1986b). Counting individuals in mammalian fossil assemblages from fluvial environements. *Palaios* 3, 328-338. [Comprehensive analysis to count the individuals from bone and teeth fragments in a specific sedimentary context].

Baucon A. (2010). Leonardo da Vinci, the founding father of ichnology. *Palaios* 25, 361-367. [History of Science: the first observations of da Vinci about the presence of fossils – petrified organisms – in mountains and the origin of such accumulations as well as the science of fossil traces (ichnology)].

Baucon A., Privitera S., Morandi Bonacossi D., Canci A., Neto de Carvalho C., Kyriazi E., Laborel J., Laborel-Deguen F., Morhange C., Marriner N. (2008). Principles of Ichnoarchaeology: new frontiers for studying past times. *Studi Trent. Sci. Nat., Acta Geol.*, 83: 43-72 [application of ichnology in the field of archaeology: a review].

Behrensmeyer A.K. (1978). Taphonomic and ecologic information on bone weathering. *Paleobiology* 4, 150-162. [First and seminal studies about the degree of bone alteration under climate and soil actions. Sequence of timing of bone destruction].

Behrensmeyer A.K., Hill A.P. (1980). Fossils in the making: vertebrate taphonomy and paleoecology. Univ. Chicago Press, 338 pp. [Basic and one of the first handbook about taphonomy and its perspectives for paleoecology based on several studies from sub-Saharan Africa and Pleistocene to modern times. The book – Symposium of the Wenner-Green Foundation in 1976 – illustrates the emergence of the discipline].

Behrensmeyer A.K., Kidwell S. (1985). Taphonomy's contributions to Paleobiology. *Paleobiology* 11, 105-119. [Theroretical essay to demonstrate the role and use of taphonomical analysis to reconstruct past living organisms and ecosystems, and precision about the definition of taphonomy].

Berkeley A., Perry C.T., Smithers S.G., Horton B.P., Taylor K.G. (2007). A review of the ecological and taphonomic controls on foraminiferal assemblage development in intertidal environments. *Earth-Science Rev.* 83, 205-230. [A review of the foraminiferal production and taphonomic loss in a marine environment].

Berna F., Matthews A., Weiner S. (2004). Solubilities of bone mineral from archaeological sites: the recrystallization window. *J. Archaeol. Sci.* 31, 867-882. [*In vitro* experimental study of modern bone to understand fossil bone].

Binford L. R. (1981). Bones: Ancient Men and Modern Myths. New York, Academic Press, 322 pp. [Seminal work on The New Archaeology with methodological consideration and taphonomical analysis on boned from modern animal and ethnical examples, with an application on ancient archaeological sites of Olduvai in Tanzania].

Binford L.R. (2001). Constructing frames of reference: an analytical method for archaeological theory building using ethnographic and environmental data. Berkeley, Univ. California Press, 563 pp. [Master-piece and synthesis on hunter-gatherers and early farming societies all around the world, with a huge body of cultural and environmental information and many original insights on human, modern and prehistoric, lifeways].

Bonnichsen R., Sorg M. (eds) (1989). Bone modification. Orono, Maine, Center for the study of first americans, 534 pp. [Thirty taphonomical contributions document theory, assumptions, methods and applications of 'human-bone/animals interactions from early humans to modern analogues].

Boucot A.J. (1953). Life and death assemblages among fossils. *Amer. J. Sci.* 251, 25-40. [Studies giving several criteria (size, sorting, disarticulation, association, etc.) to distinguish a fossil biocoenosis from a thanatocoenosis assemblages, from brachiopod (invertebrate)].

Bowler M., Hall V.A. (1989). The use of sieving during standard pollen pre-treatment of samples of fossil deposits to enhance the concentration of large pollen grains. *New Phytologist* 111, 511-515. [An experimental study showing how to reduce time consuming sample preparation].

Brain C.K. (1981). The hunters or the hunted? An introduction to African cave taphonomy. Univ. Chicago Press, 365 pp. [Pioneer, and now classic, work on Taphonomy very important for paleoanthropology, with solution very critical problem in human evolution. Many moder instances of bone accumulators –carnivores, porcupines– and great illustrations].

Breuil H. (1939). Bone and anter industry of the Chou-Kou-Tien *Sinanthropus* site. *Paleontologica sinica* (n.s. D. 6, 40 p. [The French prehistorian working on a chinese site with 'Peking Man' suggests a use of bone and antler by humans, according to their morphology, breakage and traces; but there is a confusion due to the missing data about similarity with carnivore actions].

Bruderer C., Denys C. (1999). Inventaire taxonomique et taphonomique d'un assemblage de pelotes d'un site de nidification de *Tyto alba* de Mauritanie. *Bonn. Zool. Beitr.* 48, 245-257. [To increase a data base on taphonomic alteration induced by digestion by bird raptors].

Brugal J.P. (1994). Introduction générale: Action de l'eau sur les ossements et les assemblages fossiles.

*In*: Outillage peu élaboré en os et en bois de Cervidés IV, 6è Table Ronde Taphonomie - Bone Modification, Paris, Sept. 1991; Treignes, ed. C.E.D.A.R.C., Artefacts 9, 121-129. [Review about different kind of 'water' action, in term of preservation or transport of bone assemblages].

Bruun A. (1955). New light on the biology of *Spirula spirula*, a mesopelagic cephalopod. *Dana reports* (Carlsberg Found. Oceanog.), 4, 24, 2-42. [A poorly known, but very abundant, modern cephalopod able to float several years after the death of the animal].

Buckland W. (1823). Reliquiae Diluvianae; or, observations on the organic remains contained in caves, fissures, and diluvial gravel, and on other geological phenomena, attesting to the action of an universal deluge. London, Murray, 303 pp. [Historical: one of the first mention by English scientist about ability for ancient organism, animal or prehistoric people, of Quaternary times to accumulate and modify bones within caves and all karstic locations, as well s considerations on their preservation in such context].

Cadée G.C. (1991). The history of taphonomy. *In:* The processes of fossilization, Donovan S.K. ed., London, Belhaven Press, pp. 3-21. [A short history, about taphonomy of plants and animals].

Chamberlain J.A.Jr. (1976). Flow patterns and drag coefficients of cephalopod shells. *Palaeontology* 19, 539-563. [On the role of the soft parts –body- of the animal after its death – experimental analyses].

Chamberlain J.A.Jr., Westermann G.E.G. (1976). Hydrodynamic properties of cephalopod shell ornament. *Paleobiology* 2, 316-33. [To explain the migration of the dead animals in sea-water – palaeogeography].

Collins M.J., Penkmak K.E.H., Rohland N., Shapiro B., Dobberstein R.C., Ritz-Timme S., Hofreiter M. (2009). Is amino acid racemization a useful tool for screening for ancient DNA in bone? *Proc. R. Soc., B*, doi :10.1098/rspb.2009.0563. [New data and a critical review of the literature].

Costamagno S., Fosse P., Laudet F. (eds) (2008). La taphonomie: des référentiels aux ensembles osseux fossiles *Ann. Paléontologie* 94, issues 2-4. [Introductory comments following a scientific meeting on Taphonomy, with a special interest to construct modern referential or analogues with their application to fossil conditions].

Costamagno S., Thery-Parisot I., Brugal J.P., Guilbert R. (2005). Taphonomic consequences of the use of bones as fuel. Experimental data and archaeological applications. *In*: Biosphere to Lithosphere, new studies in vertebrate taphonomy, T.O'Connor ed., Oxbow Books, pp. 51-62 (Proceed. 9th conf. ICAZ, Durham, aug.02) [Study about the question of burned bone material found in archaeological site (accidental, utilitarian, ritual) and taphonomical processes due to such combustion. Experimental studies on bones according to histology and freshness of bone to explain fragmentation and representation of burnt bones, with implication of the function].

Dart R.A. (1957). The osteodontokeratic culture of *Australopithecus prometheus*. Transvaal Museum Memoirs, 10, 105 pp. [First paper made by a south-African paleoanthropologist about a possible ancient industry by australopithecine made on bone, tooth and horns of animals, especially ungulates, as pre-lithic tools, from different cave sites in South Africa].

Dauphin Y. (1991). Incidences de la méthode d'analyse sur la composition chimique des coquilles d'oeufs pathologiques du Bassin d'Aix en Provence. *Annales de Paléontologie* (Vert. Invert.) 77, 2, 107-122. [To understand why *in situ* analyses are essential to reduce biases in interpreting geochemical analyses].

Dauphin Y., Massard P., Quantin C. (2008). *In vitro* diagenesis of teeth of *Sus scrofa* (Mammalia, Suidae): micro- and nanostructural alterations and experimental dissolution. *Palaeogeog. Palaeocl. Palaeoecol.* 266, 134-141. [*In vitro* experiments using soluble components of a soil and microstructural alterations].

Dauphin Y., Massard P., Quantin C., Montuelle S. (2012). Experimental *in vitro* dissolution of the dentine of teeth of *Sus scrofa* (Mammalia, Suidae) - implications for palaeoenvironmental reconstructions. *Archaeometry* doi: 10.1111/j.1475-4754.2012.00679.x. [*In vitro* experiments using chemical components and microstructural alterations].

Dauphin Y., Williams C.T., Andrews P., Denys C., Fernandez-JalvoY. (1999). Diagenetic alterations of micromammal fossil bones from Olduvai Bed I of the Lower Pleistocene sequence at Olduvai gorge, Tanzania. *J. Sedim. Res.* 69, 3, 612-621. [A comparison of the results of a classical taphonomic analysis and geochemical data].

Dawkins W.B. (1874). Cave hunting; researches on the evidence of caves respecting the early inhabitants

of Europe. London, Macmillan and Co, 455 pp. [Historical: first works on bone accumulated into caves and possible action of prehistoric people and other predators].

Do Rosario Marinho A.N., Miranda N.C., Braz V., Ribeiro-dos-Santos A.K., Sheila M., Mendonça de Souza S.M. F. (2006). Paleogenetic and taphonomic analysis of human bones from Moa, Beirada, and Zé Espinho Sambaquis, Rio de Janeiro, Brazil. *Mem. Inst. Oswaldo Cruz*, Rio de Janeiro, Vol. 101 (Suppl. II), 15-23. [An attempt to correlate presevation of DNA and bone histology].

Dodson P., Wexlar D. (1979). Taphonomic investigations of owl pellets. *Paleobiology* 5, 275–284. [The role of predation in small fauna remain accumulated by owls].

Dominguez-Rodrigo M. (2002). Hunting and scavenging by early humans: the state of the debate. *J. World Prehistory16*, 1-54 [Major overview about the importance of taphonomy, among others line of evidence, to solve – not definitely - the main anthropological debate for ancient humans in term of strategy of game acquisition].

Dominguez-Rodrigo M., Fernandez-Lopez S., Alcala L. (2011). How can taphonomy be defined in the XXI century? *J. Taphonomy* 9, 1-16. [Discussion about the recent use and definition of Taphonomy with perspectives].

Duke G.E., Evanson O.A., Jegers A. (1976). Meal to pellet intervals in 14 species of captive raptors. *Comp. Biochem.Physiol.* 53A, 1–6. [A comparative study *in vivo* using experimental facilities].

Edwards CA, Bohlen P.J. (1996). Biology and Ecology of Earthworms. London, Chapman and Hall, 426 pp. [Modern earthworms to understand the bioturbation].

Efremov I. A. (1940). Taphonomy: a new branch of paleontology. *Pan-American Geology* 74, 81-93. [Princeps study about taphonomy where the word, with definition, was coined].

Egeland A.G., Egeland C.P. Bunn H.T. (2008). Taphonomic analysis of a modern spotted hyena (*Crocuta crocuta*) den from Nairobi, Kenya. *J. Taphonomy* 6, 3-4, 275-299. [Study on bone assemblage accumulated by modern spotted hyena with a variable taphonomical signature and the need to more studies to better understand the bone spectrum variability].

Esteban Nadal M., Caceres I, Fosse Ph. (2010). Characterization of a current coprogenic sample originated by *Canis lupus* as a tool for identifying a taphonomic agent. *Journal of Archaeological Science*, 37: 2959-2970. [Scat analysis of modern wolf as a study prospect to use small organic remains and to identify the agent/species involved].

Fernandez-Jalvo Y., Denys C., Andrews P., Williams T., Dauphin Y., Humphrey L. (1998). Taphonomy and palaeoecology of Olduvai Bed I (Pleistocene, Tanzania). *J. Human Evol.* 34, 137-172. [A classical taphonomic study on rodents from a famous plio-pleistocene site in Tanzania with human remains].

Fisher J.C. (1969). Géologie, paléontologie et paléoécologie du Bathonien au Sud-Ouest du Massif Ardennais. *Mém. Mus. Nat. Hist. Nat.* sér. C, XX, 319 pp. [Extensive study on invertebrates with special interest in paleoecology reconstruction dealing with notion of preservation, distribution and representation of death assemblages as a precursor of taphonomy works].

Fosse P. (1995). Les herbivores dans les gisements paléolithiques en grotte: proies des carnivores ou gibier des hommes? *Préhistoire et Anthropologie Méditerranéennes* 4, 27-39. [Studies about the importance of carnivores in the herbivores remain accumulations in cave from south-western french caves and relative importance of hominid activites in some site].

Fosse P., Laudet F., Selva N., Wajrak A. (2004). Premières observations néotaphonomiques sur des assemblages osseux de Bialowieza (nord-est Pologne) : intérêts pour les gisements pléistocène d'Europe. *Paléo* 16, 91-116 [Taphonomical observations on modern wild ungulate accumulations in Poland in term of predator-prey interaction (mainly wolf), marks on bones from carnivores, rodents or birds and differential or weathering preservation within a temperate setting].

Fosse P., Avery G., Selva N., Smietana W., Okarma H., Wajrak A., Fourvel J.B., Madelaine S. (2011). Taphonomie comparée des os longs d'ongulés dévorés par les grands prédateurs modernes d'Europe et d'Afrique (*Canis lupus, P. brunnea*). *In:* Prédateurs dans tous leurs états: évolution, biodiversité, Interactions, Mythes, Symboles. Brugal J.P., Gardeisen A., Zucker A. eds, XXXIè Rencontres Internationales d'Archéologie et d'Histoire d'Antibes (Actes des Renc. 21-23 Oct. 2010), Antibes, éd. APDCA, pp. 127-156. [Modern observations from canids (European wolf) and hyenid (African brown hyena) to show the destruction sequence on ungulate long bones. It concerns an interesting example both

of carnivore' actions on bones and of comparative taphonomical approach].

Fraipont J. (1896). Les cavernes et leurs habitants. Paris, Librairie J.B. Baillière et Fils, 336 pp. [Historical: long dissertation by belgium scientist about the use of caves by man and animals with many instances and first ideas about the origin of bone accumulations].

Gabet E.J., Reichman O.J., Seabloom E.W. (2003). The effects of bioturbation on soil processes and sediment transport. *Annu. Rev. Earth Planet. Sci.* 31, 249-273. [A review of bioturbation factors, such as plant roots, insects, etc. in the stability of soil].

Gastaldo R.A., Adendorff R., Bamford M., Labandeira C.C., Neveling J., Sims H. (2005). Taphonomic trends of macrofloral assemblages across the Permian - Triassic boundary, Karoo basin, South Africa. *Palaios* 20, 479-497. [Rare data on large fossil plants].

Gaudant J. (2002). Le manuscrit du cours de paléontologie professé par Alcide d'Orbigny en 1854 et 1855 au Muséum national d'histoire naturelle. *Comptes Rendus Palevol* 1, 6, 365-382. [The manuscript of d'Orbigny with some comments].

Guadelli J.L. (2008). La gélifraction des restes fauniques. Expérimentation et transfert au fossile. *Annales de Paléontologie*, 94,121–165. [One major study on cyclic action of frost/thaw on modern and fossil mammal's bones and teeth, from experimental observations and some comparison with fossil record].

Gifford-Gonzalez D.P. (1981). Taphonomy and paleoecology: a critical review of archaeology's sister disciplines. *In*: Advances in Archaeological Method and Theory. Schiffer M.B. ed., New York, Academic Press, 365-438. [A major thought about the importance of taphonomy in the field of archaeology, and its connexion with paleoecology].

Golenberg E.M., Giannasi D.E., Clegg M.T., Smiley C.J., Durbin M., Henderson D., Zurawski G. (1990). Chloroplast DNA sequence from a Miocene *Magnolia* species. *Nature* 344, 656 - 658. [The first evidence of plant fossil DNA].

Gomez G.N. (2005). Analysis of bone modification of *Bubo virginianus*' pellets from Argentina. *J. Taphonomy* 3, 1-16. [The differences between bones and teeth rejected by a predator in two geographical sites].

Gressly A. (1861). Erinnerungen eines Naturforschers aus Südfrankreich. Album von Combe-Varin, 210-296. [A precursor in invertebrate taphonomy – the role of water transport].

Hart, M.B. (2012). Geodiversity, palaeodiversity or biodiversity: where is the place of palaeobiology and an understanding of taphonomy? *Proc. Geol. Ass.* 123, 551-555. [Reflection on paleobiodiversity through geodiversity and the importance of taphonomy to register information about environment in the cleaning and collecting processes].

Haynes G. (1981). Prey bones and predators: potential ecological information from analysis of bone sites. *Ossa* 7, 75-97. [Evidence from breakage and marks on ungulate bones left by predators as informative source on ecology, with modern observations].

Haynes G. (1983). A guide for differentiating mammalian carnivore taxa responsible for gnaw damage to herbivore limb bones. *Paleobiology* 9, 164-172. [First study about the distinction of marks on ungulates bone left by different predators, from modern observations and situations: kill-site, den, etc].

Herrero C., Canales M.L. (2002). Taphonomic processes in selected lower and middle Jurassic Foraminifera from the Iberian range and Basque - Cantabrian basin (Spain). *J. Foram. Res.* 32, 22-42. [One of the rare papers dedicated to unicellular organisms].

Hiscock P. (1985). The need for a taphonomic perspective in stone artifact analysis. *Queensland Archaeol. Res.* 2, 82-95. [Questions about the resistance of stone artifacts to physical and chemical destruction, as well as displacement within soil and sediment, and show the need to have a taphonomic perspective on morphological characteristics of lithic industry; from observations in Queensland, Australia].

Hoffman R. (1988). The contribution of raptorial birds to patterning in small mammal assemblages. *Paleobiology* 14, 81–90. [Birds of prey select preys, and the accumulation of regurgitation pellets is not a perfect image of the biodiversity – the first bias in the taphonomic process]

Hopkins J. (1950) Different floatation and deposition of conifer and deciduous pollen. *Ecology* 31, 633–641. [How do pollens behave, a pioneer analysis].

Hudson J. (ed.) (1993). From bones to behavior: ethnoarchaeological and experimental contributions to the interpretation of faunal remains. Carbondale, Southern Illinois Univ. Press (Center for archaeological investigations, occ. pap. 21), 354 pp. [Several contributions on meat acquisition and carcass utilization; steelemtn patterns (site function), social interactions and non-cultural processes (carnivores) which are all relevant in the taphonomy field].

Hughes A.R. (1954). Hyenas *versus* australopithecines as agents of bone accumulations. *Am. J. Phys. Anthrop.* 12, 467-486. [Study made to compete the hypothesis of 'osteodontkeratice' culture by R.Dart and demonstrate the important role play by hyenids in accumulation and modification of bone material].

Isaac G.L. (1983). Bones in contention: competing explanations for the juxtaposition of early Pleistocene artefacts and faunal remains. *In*: Animals and Archaeology: 1. Hunters and their prey. Clutton-Brock J., Grigson C. eds, BAR internat.series 163, p. 3-19. [Form modern analogues and theoretical the essay justify the co-existence of human artefacts mixed with bone accumulations without relationship: notion of juxtaposition or palimpsest, and implications on early Palaeolithic open-air sites in East Africa].

Johnson D.L. (2004). Bioturbation by badgers and rodents in producing polygenetic and polytemporal desert soil biomantles: soil formation, or soil evolution? *Geol. Soc. Amer.* Abstr. With programs, 36, 5, 97. [An abstract, but informative].

Keeley L.H. (1980). Experimental determination of stone tool uses. Chicago, Univ. Chicago Press, 212 pp. [One of the most important studies about principles and methods to know the real function of prehistoric lithic tools. Based on experimental works and microscopic observations on lithic tools, and their edges used for different purpose in the course of human subsistence].

Klein R.G., Cruz-Uribe K. (1984). The analysis of animal bones from archaeological sites. Chicago, Univ. Chicago Press, 266 pp. [Comprehensive handbook which synthesizes all the methods to analyse bone assemblages, especially related with taphonomy as well as with hominid activities].

Kobayashi T. (1954). A contribution towards paleo-flumenology, science of the oceanic currents of the past, with a description of a Miocene *Aturia* from central Japan. *Jap. J. Geol. Geogr.* 25, 35-56. [To understand the role of sea currents in distribution of fossil cephalopod fossil shells].

Kauffman E.G. (2004). Mosasaur Predation on Upper Cretaceous Nautiloids and Ammonites from the United States Pacific Coast. *Palaios* 19, 1, 96-100. [Relationships on preys (cephalopods) and predators in a marine fossil environment].

Lam Y.M., Pearson O.M., Marean C. Chen X. (2003). Bone density studies in zooarchaeology. J. Archaeol. Sci. 30, 1701-1708. [A comparison of computed tomography and photon densitometry].

Lebon M. (2010). Caractérisation des ossements chauffés en contexte archéologique - étude comparative de matériel moderne et fossile par spectroscopie infrarouge. *In*: Taphonomie de la combustion des résidus organiques et des structures de combustion en contexte archéologique. Théry-Parisot I., Chabal L., Costamagno S. eds, P@lethnologie : Revue bilingue de préhistoire, Toulouse, http://www.palethnologie.org/, 149-162.[Experimental and analytical (infrared spectroscopy) studies on burnt bones from recent and archaeological sites].

Leroi-Gourhan A. (1955). L'interprétation des vestiges osseux. 16è Congr. Préh. France, Paris, Picard, 377-394. [Father of the paleoethnographical approach, with development of rigorous excavations methods, the French prehistorian was concerned by bone remains associated with lithic industry. In this study he demonstrates the importance of counting, spatial distribution and marks by ex. on bones as a powerful source of data about human behavior, prefiguring taphonomy analysis].

Leroi-Gourhan A. (1984). L'esprit de la taphonomie. *Anthropozoologica* 1, 61-63. [Short and essential comment about the epistemological manner to consider taphonomy].

Leroi-Gourhan A., Brézillon M. (1966). L'habitation magdalénienne  $n^{\circ}1$  de Pincevent, près Montereau (Seine-et-Marne). *Gallia Préhistoire* 9, 264-385. [Monograph on a famous French upper paleolithic site, very well preserved in limon, with applications on taphonomical principle – see Leroi-Gourhan 1955 - to understand human activities and the paleoethnography of prehistoric hunters-gatherers].

Lyman R.L. (1994). Vertebrate taphonomy. Cambridge manuals in archaeology, Cambridge University Press, 524 pp. [Major handbook on Taphonomy].

Lyman R.L. (2010). What Taphonomy Is, What it Isn't, and Why Taphonomists Should Care about the Difference. J. Taphonomy 8, 1-16. [Definition of Taphonomy with historical origin, etymology and

applications, with caution given to archeaologist using the term].

Mallye J.B. (2011). Badger (*Meles meles*) remains within caves as an analytical tool to test the integrity of stratified sites: the contribution of Unikoté cave (Pyrénées-Atlantiques, France). J. Taphonomy 9, 15-36. [A fine spatial analysis using a bioturbator, the badger, to control stratigraphy and bone accumulation homogeneity].

Marin F., Dauphin Y. (1991). Composition de la phase protéique soluble des coquilles d'oeufs de dinosaures du Rognacien (Crétacé) du Sud Est de la France. *N. Jb. Geol. Palaont. Mh.* 4, 243-254. [About the preservation of the organic components in dinosaur eggshells].

Marín-Arroyo A.B., Landete D., Vidak G., Seva R., Gonzalez Morales M., Straus L.G. (2008). Archaeological implications of human-derived manganese coatings: a study of blackened bones in El Miron cave, Cantabrian Spain. *J. Archaeol. Sci.* 35, 801-813. [An attempt to correlate color changes of bones and geochemical analyses].

Marín-Arroyo A.B., Madgwick R., Brugal J.P., Moreno M. (2012). New perspectives on taphonomy. *Intern. J. Osteoarchaeol.* 22, 505-508. [Presentation of some papers selected for a special issue of this journal].

Marín-Arroyo A.B., Margalida A. (2012). Distinguishing bearded vulture activities within archaeological contexts: identification guidelines. *Intern. J. Osteoarchaeol*.22, 563-576. (A quantitative and qualitative comparison of ancient and modern assemblages accumulated by an endangered species].

Martill D.M. (1990). Predation on *Kosmoceras* by semionotid fish in the middle Jurassic lower Oxford clay of England. *Palaeontology* 33, 3, 739-742. [About the predatory relationships between fossil cephalopods and fishes]

Martin H. (1907-1910). Recherches sur l'évolution du moustérien dans le gisement de la Quina (Chatrente) : industrie osseuse. Vol. 1, Paris, Schleicher Frères, 315 p. [Historical: a synthesis of studies on the famous Middle Paleolithic site of La Quina in France, with emphasis on bone modification both by carnivore and mainly by humans, showing a real bone-tool industry].

Martin R.E. 1(999). Taphonomy, a process approach. Cambridge : Cambridge Univ. Press, (Paleobiology series 4), 508 pp. [The book interested questions on taphonomy processes – preservation, formation of fossil assemblages, bioturbation - with various examples on plants and animals (mainly invertebrates), and major implications on palaeoecology, biogeochemistry or climate modeling].

Mellett J.S. (1974). Scatological origin of microvertebrate fossil accumulations. *Science* 185, 349–350. [A pioneer paper on the origin of fossil sites].

Murray J.W., Alve E. (1999). Natural dissolution of modern shallow water benthic foraminifera: taphonomic effects on the palaeoecological record. *Palaeogeogr. Palaeoclim. Palaeoecol.* 146, 195-209. [Diagenetic modifications in these abundant, but small organisms].

Ogara W.O., Gitahi N.J., Andanje S.A., Oguge N., Nduati D.W., Mainga A.O. (2010). Determination of carnivores prey base by scat analysis in Samburu community group ranches in Kenya. *African Journal of Environmental Science and Technology*, 4(8): 540-546 [Modern scat analysis (lion, leopard, hyena) for prey hair characterization and depredation strategies].

Palmqvist P., Arribas A. (2001). Taphonomic decoding of the paleobiological information locked in a lower Pleistocene assemblage of large mammals. *Paleobiology* 27, 512-530. [Reminder of conceptual and methodological approaches with decrease in paleobiological information runs parallel to gain of taphonomic information. Example of Spanish lower Pleistocene site (Venta Micena) with carnivore activities, fossil short-faces hyenas and hypercarnivores as saber-tooth felids and wild dogs].

Pavé A. (2007). La nécessité du hasard. Vers une théorie synthétique de la biodiversité. Paris, EDP Sciences, 186 pp. [Handbook on ecology and its mechanisms, based on hazard as external factor determinant for living system and their evolution (from genome to biosphere) which conducted to diversity. Diversity allows to organisms, populations and ecosystem to survive, to adapt and evolve by themselves. It envisions also the role and action of human societies in the biosphere modifications].

Pei W.C. (1938). Le rôle des animaux et des causes naturelles dans la cassure des os. *Paleontologica sinica* NS, D, 7, 1-66. [Comparative analysis, almost historic, on the importance of animal, especially carnivores, in bone accumulation and modification, with reference to Chinese 'Peking-Man' site].

Peyer B. (1968). Comparative odontology. Chicago Press Univ., 458 pp. [One of the first study showing

the structure of teeth of all modern and fossil vertebrates - numerous good illustrations].

Pilarczyk J.E., Reinhardt E.G. (2012). Testing foraminiferal taphonomy as a tsunami indicator in a shallow arid system lagoon: Sur, Sultanate of Oman. *Marine Geol.* 295-298, 128-136. [How to detect geological events using marine small organisms].

Prendergast M.E., Dominguez-Rodrigo M. (2008). Taphonomic analyses of a hyena den and a naturaldeath assemblahe near lake Eyasi (Tanzania). *J. Taphonomy* 6, 3-4, 301-335. [Example of one study realized both on modern carnivore den known to accumulate bones (spotted hyena) and on a naturally bone deposit along a lake in Tanzania].

Pinto Llona A.C., Andrews P.J. (1999). Amphibian taphonomy and its application to the fossil record of Dolina (middle Pleistocene, Atapuerca, Spain). *Paleogeog. Palaeocl. Palaeoecol.* 149, 411-429. [Taphonomy of modern and fossil amphibians is almost unknown – a rare study on the topic].

Plotnick R. E. (1986). Taphonomy of a modern shrimp: implications for the arthropod fossil record. *Palaios* 1, 286-293. [The role of predation on arthropods].

Pobiner B. (2008). Paleoecological information in predator tooth mark. *J. Taphonomy* 6, 3-4, 373-397. [An overview about all kind of marks with descriptions and photos, on the surface on bones, left by predators, from dinosaurs to modern carnivores].

Quenstedt W. (1927) Beiträge zum kapitel fossil und sediment vor und bei der einbettung. *N. Jahbr. Miner. Geol. Paläontol.* 58B, 353-432. [Historical: invertebrate palaeontology and early mention of interactions between organisms, sediment and fossilization, and coined the name 'taphocoenosis' which constitutes first use of prefix 'tapho' before Efremov].

Raczynski J., Ruprecht. A.L. (1974). The effect of digestion on the osteological composition of owl pellets. *Acta Ornithologica* 14, 25–38. [A pioneer study and comparison of several species of predators].

Reyment R.A. (1958). Some factors in the distribution of fossil cephalopods. *Stockholm Contr. Geology* 1, 97-184. [Cephalopods are widely used to know the age of sediments – here an attempt to use them as palaecological recorders].

Reyment R.A. (1973). Factors in the distribution of fossil cephalopods. Part 3. Experiments with exact models of certain shell type. *Bull. Geol. Inst. Univ. Uppsala*, N. S. 4, 7-41. [One of the first experimental analysis to understand the role of these complex shells in a marine environment].

Reyment R. (2008). A review of the post-mortem dispersal of cephalopod shells. *Palaeontologica Electronica* Article Number: 11.12A. [New experiments with improved models to understand the distribution of fossil such as ammonites].

Rensberger J.M., Krentz H.B. (1988). Microscopic effects of predator digestion on the surfaces of bones and teeth. *Scanning Microscopy* 2, 1541–1551. [One of the first analysis of microscopic marks using scanning electron microscope].

Schiffer M.B. (1976). Behavioral archaeology. New York, Academic Press (Studies in archaeology), 222 pp. [One of the founders and pre-eminent exponents of behavioral archaeology, using flow models mainly concerned with the formation processes of the archaeological record (cultural and noncultural)].

Schmerling P.C. (1833 - 1834). Recherches sur les ossements fossiles découverts dans les cavernes de la province de Liège. P.J. Collardin, Vol. 1, 172 pp., Vol. 2, 195 pp. [Historical: Belgium researcher which excavated many quaternary sites yielding rich fossil animal bones and first questioning on the origin of such accumulation into caves].

Shipman P. (1981). Life history of a fossil: an introduction to taphonomy and paleoecology. Cambridge, Harvard Univ Press, 223 pp. [Very comprehensive handbook, and one of the first, about taphonomy, theory, methodology with many instances on modern and archaeological sites, especially from East Africa].

Smith C. R., Richmond M.E. (1972). Factors influencing pellet egestion and gastric pH in the Barn Owl. *Wilson Bull.* 84:179-186. [Changes in the chemistry of the digestive process induce changes in the alteration marks on bones and teeth of regurgitation pellets].

Stiner M.C., Bar-Yosef O., Kuhn S.L., Weiner S. (2005). Experiments in fragmentation and diagenesis of bone and shell. *In:* The faunas of Hayonim cave, Israel. Stiner M.C. ed., Peabody Museum of Archaeology and Ethnology, Harvard University, pp. 39-58. [Experimental studies on bone and shells to explain chemical *versus* mechanical destruction, especially with problems of burning biomaterials].

Terry R.C. (2004). Owl pellet taphonomy: A preliminary study of the post-regurgitation taphonomic history of pellets in a temperate forest. *Palaios* 19, 497-506. [Temperate forest environment is not well known from a taphonomic point of view – regurgitation pellets of modern bird raptors].

Thiébaut C., Coumont M.P., Averbouh A. (eds) (2010). Mise en commun des approches taphonomiques (Sharing taphonomic approaches). Paléo, supl. 3 (Actes WS16, XVe Congr.Internat. Libsonne, sept.2006) [Proceeding of a colloquium on Taphonomy with several contributions from different contexts and objects: lithic and bone artifacts, rock art, experimental (trampling), periglacial soil, fluvial deposit].

Tournal P. (1833). Considérations générales sur le phénomène des cavernes à ossements. Annales Chimie Phys. LII, 161-181. [French scientist who was the first – with Buckland – to demonstrate the contemporary of Man with extinct species, as well as the role of carnivores, especially hyenid, on the origin of bone accumulations].

Ubelaker D.H. (1997). Taphonomic Applications in Forensic Anthropology. *In*: Forensic Taphonomy: The Postmortem Fate of Human Remains Haglund W., Sorg M. eds, CRC Press, Inc., p.77-90. [Taphonomy is considered as a subfield of forensic anthropology that examines how taphonomic factors have altered evidences which are involved in medico-legal investigations].

Vasil'chuk A.K. (2005). Taphonomic features of arctic pollen. *Biology Bull.* 32, 2, 196–206. [A rare sutdy on pollen from cold regions].

Villa P., Mahieu E. (1991). Breakage pattern of human long bones. *J. Human Evol.* 21, 27-48. [New development of a methodology about bone breakage with application on a human bone assemblage from a Neolithic site in Provence, South-east France].

Von Koenigswald W., Sander P.M. (1997). Tooth Enamel Microstructure. Taylor & Francis, 288 p. [A comprehensive study of mammal tooth enamel – to be able to detect alteration – numerous SEM images].

Voorhies J.E. (1969). Taphonomy and population dynamics of an early Pliocene vertebrate fauna, Knox County. Nebraska, Laramie, Univ.Wyoming Press (Contributions in Geology, sp. paper, 1), 69 pp. [in this work on vertebrate bone assemblages from fluvial environment, the author envisions a classification of anatomical bone elements in under various hydrodynamic stream and propose for the first time groups from lag to dispersed association].

Wasmund E. (1926). Biocenose und thanatocenose. Biosoziologishe studie über Lebensgemeinschaften und Totengesellschaften. *Arch. Hydrobiol.* 17, 1-116. [Historical: ancient studies on living and death invertebrates associations and term of 'thanatocoenosis' coined].

Weigelt J. (1927). Rezente Wirbeltierleichen und ihre palaobiologische Bedeutung. Leipzig, Verlag M. Weg, 188 pp. [Seminal and pioneering empirical work in taphonomy with the study of how organism die, decay, are buried and become fossilize from extensive observations on recent carrions (ex.cattle) on the Texas Gulf Coast. This work has important implications for paleoecological studies].

Weiner S. (2010). Microarchaeology. Beyond the visible archaeological record. Cambridge Univ. Press, 414 pp. [Examples, principles, techniques, diagenesis in archaeology described by an expert - useful in the taphonomic field].

Westerman G.E.G. (1975). Architecture and buoyancy of simple cephalopod phragmocones and remarks on ammonites. *Paläont. Zeit.* 49, 3, 221-234. [To understand the geographical distribution of these fossil cephalopods].

Yellen J.E. (1977). Archaeological approaches to the Present: models for reconstructing the Past. New York, Academic Press (Studies in Archaeology), 259 pp. [Mainly archaeological and ethnographical works where some peculiar taphonomical factors as prey choice, body part transport and subsistence (diet) utility are quoted].

#### **Biographical Sketches**

**Yannicke Dauphin** is an Assistant Professor at Université de Paris VI (Pierre et Marie Curie, France). She received her Ph.D degree and State university thesis in earth science - palaeontology from Université Paris Sud (France). She taught paleontology for several student degrees. She has published approximately 200 papers on biomineralization in peer-reviewed journals and she is involved in various national and international programs. She is co-author of two books about palaeontology and biomineralization. After

focusing on cephalopod shells, she has extended her research to the structure and composition of modern and fossil mollusks, corals, and vertebrate skeletons for a better understanding of taxonomy, phylogeny and palaeoenvironmental reconstructions.

**Jean-Philip Brugal** received his PhD in 1983 in Vertebrate paleontology and his HDr in 1994 from University of Sciences at Marseille-Luminy, France. He is Directeur de Recherches in the French Centre National de la Recherche Scientifique (CNRS), working for the last decade at the Maison Méditerranénne des Sciences de l'Homme at Aix-en-Provence. His research interest tends to integrate past environments and animal and human behaviors during Quaternary/Paleolithic time. As such, he is involved in different research projects in East Africa (Kenya, West Turkana) and South-western Europe (France, Spain, Portugal), and conducted his own excavations on middle and late Pleistocene sites. He is past-president of French National Committee of INQUA and in charge of a Taphonomy network in France (RTP-CNRS).