AGRICULTURAL PRACTICES AS BARRIERS TO SUSTAINABILITY

Kulshreshtha, S. N.

Department of Agricultural Economics, University of Saskatchewan, Saskatoon, SK, Canada, S7N 5A8

Keywords: Agriculture, Sustainability, Constraints, Policy regimes, Barriers to sustainability.

Contents

- 1. Introduction
- 1.1. Background and History of Sustainable Agriculture
- 1.2. Objectives of the Paper
- 2. What is Sustainable Agriculture?
- 2.1. Notion of Sustainability
- 2.2. Terminology Related to Sustainable Agriculture
- 2.3. Definition of Sustainable Agriculture
- 2.4. Desirable Characteristics / Indicators of Sustainable Agriculture
- 3. Symptoms of Unsustainable Agriculture
- 4. Major Threats / Challenges to Agricultural Sustainability
- 5. Barriers to Adopting Sustainable Agriculture
- 5.1 Lack of definition of Sustainable Agriculture
- 5.2. Lack of Answer to Fundamental Questions
- 5.3. Lack of Knowledge
- 5.4. Common Misconceptions about Sustainable Agriculture
- 5.5. Resistance to Change
- 5.6. Misguided Research Focus
- 5.7 Training and Extension
- 5.8. External Influences Inhibiting Adoption of Sustainable Practices
- 5.9. Policy Measures
- 6. Attaining Sustainable Agriculture in the Future
- 6.1. Emphasis on Systems rather than on Smaller Components
- 6.2. Investment in Research and Development
- 6.3. Enhancement of New and Appropriate Technologies
- 6.4. Agroecological Approach
- 6.5. Market Access and Product Differentiation
- 6.6. Policy Reform
- 6.7. Development of New Pricing System
- 6.8. Strengthening of Education and Training Infrastructure
- 6.9. Improving Social Acceptability

Glossary

- Bibliography
- Biographical Sketch

Summary

Rational pursuit of sustainability, whether globally or locally, is only possible if society could agree upon what sustainability is, or more exactly, if mankind knows what we want to sustain. Besides the discussion on these topics, policy reforms are an important requirement for achieving sustainability. Much can be achieved by promoting polices that help better resource allocation and at the same preserve the natural ecosystem. In addition, conservation incentives are needed through functioning of the marketplace, along with an assessment of alternative mechanisms to control externalities.

Legal and institutional origins of environmental problems should be recognized. The nature of property rights, especially legal restrictions on the emergence and evolution of property rights in increasingly scarce natural resources, have been found to play a pivotal role. Thus, sustainable agriculture must be viewed within the broad context of sustainable development on a national and global level. An isolationist view of focussing on a single sector or region is unlikely to prove fruitful. Three necessary conditions for sustaining the physical resource base include the following: (1) Rate at which renewable resources are used should not exceed their rate of regeneration; (2) Rate at which non-renewable resources are used should not exceed the rate at which sustainable substitutes are developed; and, (3) Rate of pollution emission should not exhaust the environment's assimilative capacity. Many of these aspects have now become a part of the sustainability proposals, including those in agriculture.

It is important to point out that making the transition to sustainable agriculture is a process. Reaching this goal is the responsibility of all: farmers, laborers, policy makers, researchers, and consumers. Mitigation of threats to agronomic sustainability, which might emanate from greater stewardship by farmers, is unlikely, insofar as their Microeconomic Sustainability is under great pressure. Fewer and fewer farms struggle to retain a viable financial position against the pressures of the 'cost-price squeeze' inflicted by agri-businesses, governments, and consumers, all wanting cheap food. Sustainable agriculture requires both a change in the economic and institutional framework and farmers' motivation and values. Appropriate intervention by the governments in areas such as market access, infrastructure development, research and extension, could make a critical difference in the process of development of sustainable agriculture the world over. Sustainable agriculture is a value-laden concept - it involves a deep commitment to the land, to conserve lifestyles, to the rejuvenation of rural communities and associated infrastructure, to the preservation of the environment, and to economic systems that place value on human fulfilment and discourage emphasis on mere commodity exchange in market place.

1. Introduction

1.1. Background and History of Sustainable Agriculture

Concerns for food security have become widespread the world-over. Many of these have risen as a result of doubts expressed about the worlds ability to feed the growing masses of people. Even a cursory look at the massive malnutrition and widespread

starvation in the world indicates the mammoth task the world faces in the future. Many concerns for the present generation also lead to the ability of the future generations to feed themselves properly. Many of these discussions hint at developing agricultural sustainability. Recognition of this concept in the last decade has been very rapid, in fact only slightly behind the trend in the acceptance of biotechnology.

From the very beginning, production of food has been a major preoccupation of mankind. Even today, most of the world's food is obtained from agricultural pursuits. In fact, welfare of humans, and perhaps their very existence to a large extent, depends upon it. Concerns over sustainability are not totally new, although in the sixties and seventies such concerns were not major, since food production resources in most part of the world did not appear to be threatened by resource overuse. Although farmers have been concerned about the future of their livelihood and pursuits for a long time, focus on sustainability has heightened since the coining of the phrase 'sustainable development' by the Bruntland Commission Report. It would be an understatement to suggest that sustainable agriculture is still to be developed the world over, since many of the existent farming systems are known to be sustainable and / or being close to this norm.

Agriculture is one of those basic human activities that is performed at the frontier where society interacts with nature. To feed the world population, which is growing at the rate of some 80-90 million people each year, ways and means have been devised to increase production. Policy choices were made that increased production. A major focus of past research has been to find ways to substitute the cheaper inputs, such as chemicals, for more expensive inputs, such as land and labor. However, these practices are now known to be creating serious resource degradation and are not environmentally friendly. Unexpected changes, such as global warming, raise further doubts about our past decisions. All these point to the need for bringing more sustainability in producing the basic human need – food.

1.2. Objectives of the Paper

The major objective of this article is to review the concept of sustainable agriculture and address the major reasons why sustainability in agriculture has not yet been achieved. What stops the farmers of the world from adopting farming practices and other management techniques that are sustainable? The material presented in this article is based on a review of the current literature. Since the Bruntland Commission report, studies and articles on sustainability themes have literally mushroomed in various parts of the world. Unfortunately, this review concentrates more on the North American literature, since studies in other continents are not easily accessible.

2. What is Sustainable Agriculture?

2.1. Notion of Sustainability

Sustainability concerns for agriculture have developed out of the concept of sustainable development, which refers to the management of resources so as to satisfy present needs without a compromise or a detriment to the needs of the future generations. Although

most geological and anthropological evidence suggests that the earth, its environment and its inhabitants have continually changed, it is the belief of some that this process is accelerating now, in large part due to human activities. Food, being one of the vital ingredients for human survival, has attracted more attention in this regard.

Sustainability was the "buzz" word of the 20th century, and continues to be in to the 21st century. Environmentalists have chanted this with as much vigor as any, but few attempts have been made in defining it clearly, and even fewer have ventured to suggest ways to measure it properly. There is, however, some general agreement on three aspects of sustainability: Physical/Biological sustainability, Economic sustainability, and Social sustainability. Physical or biological sustainability is the most widely recognized form of sustainability. This aspect is reflected in the quantity of output, which is primarily dependent on the level of inputs and the biological growth processes. Many factors could affect this sustainability including degradation of natural resources. The biological processes may also be altered by phenomenon such as climate change. Economic sustainability is reflected in the value of the output produced. Even with a constant level of physical output, a system could become economically unsustainable due to falling prices or increasing cost of production. Social sustainability is reflected in distributional equity between the present and the future. It is generally reflected in the capacity of the systems to support farming communities. When human communities deteriorate, agricultural production may fall. Poor agricultural policies, insecure land tenure, constant wars or other social disruptions, and changing labor conditions are some of the factors that may bring forth unsustainable agricultural practices.

Generally speaking, most people find it very hard to be against sustainability. However, some definitions bring about more disagreement than others. For example, some people define sustainability as leaving the world as it is, but that is essentially unfeasible. Proclaiming globally sustainable systems is equally unhelpful. No specific system is either suitable or sustainable for all parts of the world. Sustainability concerns evolve along with production patterns and practices, economic development, social changes, and knowledge. What was considered sustainable 50 years ago is not considered sustainable now, and similarly what may be considered sustainable 50 years from now may include concerns not yet imagined.

Nomenclature	Description
Alternative	Describes production systems in agriculture that are different
Agriculture	from the conventional agriculture. Farmers have a number of
	alternatives and have the freedom to choose among them.
	Less dependence on agro-chemicals is very common
Low-Input	Agriculture requiring low dose of external inputs, thereby
Sustainable	reducing production costs. Recycling of manure and crop
Agriculture	residues is an important part of this system
Ecological / Eco-	Agriculture based on principles and processes that govern the
biological /	natural environment. Protection of environment through
Socio-ecological	reduced use of chemical fertilizer, herbicides and pesticides is
Agriculture	an important aspect of such an agriculture

2.2. Terminology Related to Sustainable Agriculture

Agriculture that has the continuing ability to recreate
resources that the system requires
Systems that use compost and humus to benefit soil structure
and fertility
Based on recycling of nutrients from on-farm resources.
Suggested to be a precursor of sustainable agriculture

Source: Adapted from CASAFA (1991) and Lockeretz (1990)

Table 1. Nomenclature used in the context of sustainable agriculture

Over a period of time, several descriptors have been used, either as near-synonyms or with the purposes of removing confusion surrounding the concept of sustainable agriculture. Six commonly used descriptors are shown in Table 1, although the connotations attached with each of the above descriptors have common themes. At the same time, most of these appear to be somewhat imprecise, particularly in the manner in which they are applied in agricultural circles. For example, "alternative agriculture" means an agricultural system different from conventional agriculture in some manner. But what precisely needs to be practiced differently to make it an alternative system, perhaps by being more sustainable? Similarly low-input sustainable agriculture prescribes lower dependence on external inputs, very similar to the biodynamic agriculture, which suggests the use of compost and humus to benefit the soil. Whether there are fundamental differences amongst these systems or if this is merely a matter of semantics in the scientific literature is one factor that may affect the future of sustainability discussions regarding agriculture.

2.3. Definition of Sustainable Agriculture

Partly as a result of lack of unanimity in the scientific circles, there is no single accepted definition of sustainable agriculture. The term "sustain" is derived from the Latin "sustenire", which literally means "to uphold from falling". If one takes this meaning of the word, it would suggest that sustainable agriculture is a static concept. However, this will be adequate only if demand for agricultural products was not changing, which could result under no population change, although even here, changes in tastes and preferences may alter demand level for various products. Obviously this concept of sustainability will become unacceptable if there is a rapidly growing population (as currently is the case). This will necessitate other improvements in this definition.

Some authors have suggested that sustainability is a goal and not a set of well-defined practices. This philosophical anomaly perhaps stands in the path of developing a precise definition of sustainable agriculture. This may also explain, perhaps partly, the many interpretations of sustainable agriculture in the literature. One of the general definitions of sustainable agriculture is that it is ecologically sound, economically viable, and socially acceptable. This leads to a notion that sustainable agriculture should be one that seeks to achieve several objectives: efficient but complex diversified systems; conservation of ecology and natural resources including ground and surface water, and flora and fauna; conservation of non-renewable resources; and adequate and dependable farm incomes and healthy rural communities and institutions.

In one sense, sustainability recognizes the values of humans alongside those of nature. For example, some authors propose that sustainable agriculture is one that "evolves indefinitely towards greater human utility, greater efficiency of resource use, and a balance with the environment that is favorable both to humans and to most other species." Similarly, according to an Organization for Economic Cooperation and Development Workshop, sustainable agriculture is not a concretely defined set of management strategies and technologies. It is an approach that targets the enhancement of natural processes, a reduction of production costs related to synthetic inputs, sustained and efficient production of human health and environmental impacts of production techniques. Farms that follow sustainable agriculture have the following as major farm practices:

- The adaptation and incorporation of natural processes such as nutrient cycling, nitrogen fixation and pest-predator relationships into the farm systems;
- Reduced usage of farm external inputs; and,
- Adoption of management systems to conserve resources.

Some scientists have gone as far as drawing some basic differences between the conventional and sustainable agricultural systems, while others maintain that conventional and sustainable paradigms of agriculture are more different in terms of farming philosophy than in terms of farming practices and / or methods. The conventional model of agriculture is fundamentally an industrial development model which views farms as equivalent to factories and considers fields, plants and animals as production units. The goal of industrial development is to increase human wellbeing by increasing production of material goods and services and simultaneously increasing the aggregate employment and incomes. These changes are assumed to increase human welfare. This type of agriculture leads to specialization and mechanization to take advantage of economies of scale for large size operations. New technologies are developed to remove physical and biological constraints, thereby making unlimited growth possible. Sustainable agriculture, on the other hand, is based on a holistic paradigm which views production units as organisms that consists of many complex interrelated sub-organisms, all of which have distinct physical, biological, and social limits. Humans are viewed as a part of the system from which they derive wellbeing. Quality of life is considered to be a consequence of interrelationships among people, and between them and other physical and biological elements of their environment. Fundamental strategies under this paradigm include diversification, integration, and synthesis.

While sustainability of agricultural systems is not necessarily an absolute virtue, there is widespread agreement about the undesirability of some unsustainable agricultural systems. Crop residues and their management are an important part of the sustainable agriculture. Soil science literature is full of studies suggesting that crop residues influence agricultural sustainability by enhancing productivity. Productivity increases when residues are returned, are greater with low rate of fertilizers than with high rates of fertilizers. Some organizations, such as the Northwest Area Foundation, suggest two types of practices that are generally regarded as sustainable: reducing chemical dependence and using ecological practices. In North America, sustainable farmers include those who produce a wider range of crops and livestock than conventional

farmers. They also have more of their land under pasture, woodlands, wetlands, or other non-crop uses. Furthermore, they use less commercial fertilizer, pesticides and energy. Instead of purchased inputs, they rotate crops, recycle plant nutrients and manure, and plant more soil-building crops than do conventional farmers. They also use more cover crops, strip crops, contoured grass waterways, and field windbreaks. Sustainable farms are generally smaller and own a larger portion of the land base. Many studies, for example in North America, regard current-day agriculture sustainable in the short to medium term when it comes to dependable, affordable supply of food and fiber, but unsustainable in terms of environmental quality and social cost.

- -
- _
- _
- TO ACCESS ALL THE **27 PAGES** OF THIS CHAPTER, Visit: http://www.eolss.net/Eolss-sampleAllChapter.aspx

Bibliography

Adhikari, R. (1998) Policy and pricing issues for sustainable agriculture. pp. 233-241, in A. E. Johnston and J. K. Syers (eds.). *Nutrient Management for Sustainable Crop Production in Asia*. London: CAB International. [Objectives of government policies are listed in this article].

Altieri, M. A. (1995). *Agroecology: The Science of Sustainable Agriculture*. Second edition. Boulder, CO: Westview Press. [Further elaboration on the agroecological approach is presented in this study].

Brklacich, M., Bryant, C. R. and Smit, B. (1991) Review and appraisal of the concept of sustainable food production systems. *Environmental Management* **15**, 1-14. [This article reviews the concept of sustainability in production of primary and food products level, and suggests key concepts that underpin agricultural sustainability].

Bruntland, G. H. (Chair of the Commission). 1987. *Our Common Future*. World Commission on Environment and Development. Oxford: Oxford University Press. [Discussion of conflicts in economic growth and environmental preservation can be found in this study. This study also coined the phrase sustainable development and provided its definition].

CAEFMS -- Canadian Society of Agricultural Economics and Farm Management, 1990. Agriculture and the Environment: Economic Dimensions of sustainable agriculture. Ottawa. [This report identifies threats to sustainability of agriculture].

CASAFA – The Scientific Committee on the Application of Science to Agriculture, Forestry, and Aquaculture. (1991). *Sustainable Agriculture and Food Security*. Report Prepared for the United Nations Conference on Environment and Development].

Conway, G. (1987). The properties of agroecosystems. *Agricultural Systems*. 24:95 – 117. [Discussion on five levels of sustainability are described in this study].

Faeth, P. (ed.) (1993). Agricultural Policy and Sustainability: Case Studies from India, Chile, the *Philippines and the United States*. Washington, D.C.: World Resources Institute. [A set of case studies for various parts of the world examining sustainability issues].

Faeth, P. (1997). Sustainability and U. S. Agriculture: Problems, Progress and Prospects. Pp. 47-120, in R. Dower, D. Ditz, P. Faeth, N. Johnson, K. Kozloff, and J. MacKenzie (eds.). *Frontiers of sustainability* – *Environmentally sound agriculture, forestry, transportation, and power production*. Washington, DC: Island Press. [Concerns regarding sustainability, particularly about sustainability in the future, are

described in this study. Major threats to sustainability of agriculture are also reported by this study].

Francis, C. A. and Youngberg, G., (1990). Sustainable Agriculture – An Overview. pp. 1-23, in C. A. Francis, C. B. Flora and L. D. King (eds.). *Sustainable Agriculture in Temperate Zones*. New York: John Wiley and Sons. [A good discussion and an overview of sustainable agriculture can be found in this study].

I.I.S.D. – International Institute for Sustainable Development, (1994). *Making Budgets Green – Leading Practices in Taxation and Subsidy Reform.* Winnipeg. [In this report are examples of polices that support sustainable agriculture are noted].

Lockeretz, W. (1990)Major Issues Confronting Sustainable Agriculture. pp. 423-438, in C. A. Francis, C. B. Flora and L. D. King (eds.) *Sustainable Agriculture in Temperate Zones*. New York: John Wiley and Sons. [An excellent general discussion of issues facing sustainable agriculture can be found in this study].

MacRae, J. R., Hill, S. B., Mehuys, G. R., and Hemming, J., (1994) Farm Scale agronomic and economic conversion to sustainable agriculture. *Advances in Agronomy*. 43:155-198. [Presents a three phase process for the transformation of conventional agriculture into a sustainable one].

Northwest Area Foundation, (1994). A Better Row to Hoe – The Economic, Environmental, and Social Impact of Sustainable Agriculture. St. Paul, Minnesota.39 pp. [Provides a lay interpretation of many concepts related to sustainable agriculture].

OECD – Organization for Economic Cooperation and Development. (1998). *Co-operative Approaches to Sustainable Agriculture*. Paris. 107 pp. [A discussion of approaches that may work in developing sustainable agriculture is presented here].

Olson, L. J. (1986) The Immune System and Pesticides. *Journal of Pesticide Reform*. Summer, 20-25. [A good source of material on the pesticides and health issues facing society].

Repetto, R. (1989) Economic Incentives for Sustainable Production, pp. 60-86, in G. Schramm and J. Warford (eds.), *Environmental Management and Economic Development*. Washington, DC: The World Bank. [A good discussion on subsidies and their effect on sustainability in agriculture, in particular the credit subsidies].

Roberts, B. (1995). *The Quest for Sustainable Agriculture and Land Use*. Sydney, Australia: University of New South Wales. [A good discussion of sustainability issues related to agriculture in Australia].

Schneiderman, H. A. and Carpenter, W. D., (1990) Planetary patriotism: Sustainable agriculture for the future. *Environmental Science and Technology* **24**, 456-462. [An excellent discussion of biotechnology and its connection with agricultural sustainability are presented in this study]

Solow, R. M. (1993) Sustainability: An Economist's Perspective, pp. 179-198, in R. Dorfman and N. Dorfman (eds.), *Economics of the Environment*. Third Edition. New York: W. W. Norton Company. [This paper discusses some aspects of distributional equity].

Young, M. D. (1992). Sustainable Investment and Resource Use: Environmental Integrity and Economic Efficiency. Paris: UNESCO. [The concepts of total economic value and efficient pricing are presented in this study].

Biographical Sketch

Kulshreshtha, Suren N.

Department of Agricultural Economics, University of Saskatchewan, Saskatoon, SK, Canada.

Awards & Honours

- Biographed in the 2000 Outstanding Scholars of the 21st Century, First Edition, Cambridge, England: International Biographical Centre
- Nominated Professor of the Year Award, College of Agriculture Students, 1994
- The Directory of Distinguished Americans, American Biographical Institute, 1989.
- Commemorative Medal of Honour, American Biographical Institute, 1987.
- Award of Merit, Two Thousand Notable Americans, Third Edition, American Biographical Institute, 1985.

- Honourable mention for the Best Article Award Competition of the Canadian Society of Agricultural Economics, 1978.
- Honourable mention for the Best Article Award Competition of the Canadian Society of Agricultural Economics, 1977.