THE GRAND PATTERNS OF CHANGE AND THE FUTURE

Sohail Tahir Inayatullah

Tamkang University, Taiwan; University of the Sunshine Coast, and Queensland University of Technology, Australia

Keywords: Theories of Social Change, Episteme, Alternative Futures, Macrohistory, Civilization.

Contents

- 1. Introduction
- 2. Historical and Epistemic Context
- 3. Stages of History
- 4. Agency, Structure, and the Transcendental
- 5. Cyclical and Linear
- 6. Metaphors of Time
- 7. The Future from Macrohistory
- 8. Patterns in the Patterns

Glossary

Bibliography

Bibliography Sketch

Summary

Through its delineation of the patterns of history, macrohistory gives a structure to the fanciful visions of futurists. Macrohistory gives us the weight of history balancing the pull of the image of the future and the push of near-term historical trends. Yet like futures studies, it is value-based, seeking not merely to reflect upon the structure of social space and time but to participate in the transformation of past, present, and future (see *Epistemology and Methodology in the Study of the Future*).

From this perspective, to understand the future, more than scenario development of probable, preferred, and possible futures is needed. An analysis of the structure of history is needed. Is history and future linear or cyclical? How is time constructed, indeed, what are the different times of history and future? What are the relative roles of agency and structure in creating desired futures? Which theories and theoreticians of macrohistory are most useful in understanding the past, present, and future? How does the episteme define the construction of their theories? This entry seeks to answer these questions. It thus hopes to fill the space of what the future is likely to be through the patterns and structures of what was.

1. Introduction

Macrohistory is the study of the histories of social systems, along separate trajectories, through space and time, in search of patterns, even laws of social change. Macrohistory through its delineation of the structures of history—of the causes and mechanisms of historical change; of inquiry into what changes and what stays stable; of an analysis of

the units of history; and a presentation of the stages of history—provides a structure from which to forecast and gain insight into the future.

By knowing what historically can and cannot change, scenarios of the future can be more plausible. Thus, through a study of the grand patterns of change, we can better understand the likely futures ahead. By exploring the range of units or collectivities, we can break out of the straitjacket of nations as our only unit for the future. Finally by understanding the stages of history, we can better understand the stages of the future. Macrohistory gives us the weight of history balancing the pull of the image of the future. It gives a historical distance to the many claims of paradigm shifts, allowing us to distinguish between what are mere perturbations and what are genuine historical transformations. While giving us insights into the human condition, theories of macrohistory also intend to explain past, present, and future.

2. Historical and Epistemic Context

However, even as macrohistorians make truth claims, it is important to locate macrohistorians within the historical conditions they write in and the episteme that frames what is knowable. Thus, where one is situated partly determines what one sees. Attempts to forecast the future, to develop world scenarios, should not be construed as objective science but should be understood very much as products of the social, political, gender, and civilizational context of the writer.

For example, the United States government Central Intelligence Agency (CIA) report on the future released in early 2001 (http:

//odci/gov/cia/publications/globaltrends2015/index.html) articulates four scenarios of the future. These are: (1) inclusive globalization; (2) pernicious globalization; (3) regional competition; and (4) post polar world.

Although the methods they use are rigorous, the paradigm remains that of searching for possible sources of conflict, possible sources of power that may decrease US power. While they are certainly not claiming to be immune from the politics of knowledge, even scientists and social scientists by virtue of the nature of language are caught in a range of subjectivities—the episteme that defines the boundaries of knowledge.

Whereas the contextual nature of knowledge is obvious to us when we (as modernists, the expanded West) examine the past, when we examine our present, following Comte, we tend locate it as the rational and the scientific. The past is constructed as relative and ideological (that is, not objective) with the future the fulfillment of truth, the final stage of history, once the last vestiges, the remnants, of the religious or philosophical past have been modernized, that is, vanquished. Thus we submit our own "present" as outside of history, outside of a metaphysic.

From a social constructivist perspective, every writer emerges from a discourse, a way of knowing and constructing the world even as they often claim empirical objectivity. This is true for thinkers across civilizations and knowledge perspectives. Hegel's conception of history was a direct response to the third antinomy, contradiction, and Kant's problematic solution to it. Hegel accepts Kant's antinomy and makes it his dialectic, with spirit as one variable and the state as his other variable.

Although Marx attempted to create a perfect new world realizable through an objective understanding of the real, he was responding to a tradition as well—the concerns of nineteenth-century Europe. His thinking was contextualized by the rationality of the Enlightenment and its German response (the idealistic perspective of Kant and Hegel). Given the idealistic nature of the philosophical nexus around him and of the recent Christian past, he claimed that his work was a science of the objective of the material world, and not a speculation on the idealistic or religious world of the medieval era.

Thus each stage of history writes the previous era as backward, as unfulfilled, as to be developed. In contrast, macrohistorians who posit that history and future follow a spiral pattern see true development coming from an integration of science and religion. Alternatively, scientists see true progress coming from the scientific method and religious thinkers from an authentic understanding of revelation.

3. Stages of History

Along with the historical context of the macrohistorian, crucial to understanding the future are the stages of history posited. Comte had his theological (based on religion–faith), metaphysical (based on philosophy–reason) and positive (based on science–truth). Sorokin has his three ages of the ideational, dualistic-integrated and sensate but with a fourth stage as the transition, the age of skepticism and chaos. Spencer relates his societal types to phases in history: barbarism, militant, industrial, and a fourth yet to emerge. Vico has his Age of Gods, Heroes, Men, and Barbarians (from which we return to the Age of Gods) and Ibn-Khaldun argues for a primitive-civilization-primitive pattern. More recently Sarkar has his four stages of the Shudra Era (Era of Laborers), the Ksattriyan Era (Era of Warriors), the Vipran Era (Era of Intellectuals) and then the Vaeshyan Era (Era of Merchants). This is followed by a Shudra (Workers') revolt, and the cycles continues again.

More common, of course, is the classical antiquity, medieval, and modern. Equally, agricultural, industrial, postindustrial with the new phase that of the knowledge economy. Alternatively, Alvin Toffler has argued for a first wave, second wave and third wave (in terms of dominating technologies and resulting social worlds).

Graham Molitor has extended this much further going out a thousand years. His stages include agriculture (declining since 1880s), industrial (declining since the late 1920s), services (declining since 1956), information (dominant since 1976), leisure (dominant commencing 2015), life sciences (dominant by 2100), mega-materials (dominant 2100–2300), new atomic age (dominant by 2250–2500) and new space age (dominant before 3000). Of course, while these stages illuminate the broader categories of history and future, they are not macrohistory. To be macrohistory, more than patterns are required. The mechanisms for change, the reasons behind the fall, or the unlimited rise, an exploration of how civilizations rise after the fall, or continue to grow unabated, are needed for a real macrohistory.

However, stages are useful as they provide a context for scenarios. They contest the assumption of a unified historical and future framework, an unbroken grand narrative of social evolution. This challenge is important as it is this grand narrative that guides many forecasts—probable, plausible, possible. Forecasters thus do not take into account the possibility of the entire framework of what it is we consider nature and truth changing, of the emergence of new nominations of significance, of fundamental discontinuity. Believing that the future will be data-led—focused only on current dominant drivers (economy or technology), forecasters present logical scenarios based on short-run current understandings (see *Multilayered Scenarios, the Scientific Method and Global* Models).

4. Agency, Structure, and the Transcendental

While stages privilege structure, equally important is the role of agency, or choice, in creating history and future. Most social theorists argue back and forth between agency and structure. However, macrohistorians find escapeways out of these categories. For example, for Vico, history and future, although patterned, are not predetermined—there are laws but these are soft. The hard and soft distinction refers to the level of determinism of the laws.

Critics, however, point out that generally macrohistory, by focusing on the grand stages, the laws of history, removes choice and contingency, privileges structure over human agency and misses too many significant details. However, while the structure/agency dilemma is central within the linear/developmentalist model or the cyclical/fatalistic model, writers such as Sarkar (*varna*—collective psychology/types of power), Galtung (cosmology), Foucault (discourse), and Sorokin (supersystems—sensate, idealist/integrative, and ideational) give us ways out of these dilemmas. For example, for Sarkar there is historical structure (evolutionary derived), but there is individual will and there is a cosmic will: a grander intelligence. These exist in dialectical tension.

Privileging one perspective (agency) results in individualism or liberalism (Smith, for example). Privileging another (structure) results in structuralism (Marx, for example). If one moves toward the third then divinity results (Augustine or Steiner, for example). The real has different levels—the task is to exist in them simultaneously, to develop a theory that has linear, cyclical, and transcendental dimensions and has agency, structure, and superagency (the transcendental) as to what causes movement through history. A theory of the future would equally need to embrace these multiple perspectives rather than mistakenly focus on any particular approach.

Choosing structure over agency would be a mistake as would be choosing agency over structure. Keeping divinity and other mysterious factors out of the macro analysis would also be a mistake. There is no necessity to make a decision to privilege a particular way of understanding; all levels of interpretation must be held on to simultaneously.

For Galtung and Foucault as well these are false choices. A particular cosmology and discourse gives us the possibility of including both horns of the structure/agency dilemma (and divinity if need be). Similarly, Sorokin develops his theory, arguing that any system must have its own inner dynamics and must interact with the structure of the

external world that causes external and internal change, thus allowing both agency and structure. To Galtung, Foucault, and Sorokin, however, superagency is not a possibility. The intervention of God or other mysterious spiritual forces is not an empirical possibility, but rather the type of approach one gets during ideational eras, or pertaining to a particular cosmology or discourse.

For most macrohistorians, individuals are important but they exist in larger fields that condition their choices: class, gender, dynasty, cultural personality, or ways of knowing the real. Equally important is the individual's ability in creating the future and the values that inform the good society, vision, in question. But these value preferences in themselves exist within certain structures: biological (the evolution of the species and the environment), epistemological (the historical possibilities of what is knowable and thinkable), social (one's own culture and its history), technological (the material and social ways through which actions can be expressed), and the economic (basic needs and growth, the realities of the material world).

Taking perhaps a broader view, Braudel believes that physical geography, or the *longue durée* (the long time), plays a role in history and the future. For Braudel, history must be divided into three levels. There is: (1) the history of events (the traditional individual level of history), (2) the history of civilizations and economic systems (processes), and (3) geo-history (geography). This last perspective, according to Braudel, is "history whose passage is almost imperceptible...a history of constant repetition, ever-recurring cycles."

This macro-environmental view of Gaia is the temporal frame of history. Individual and structural time are but minor aspects of Earth or Gaia time. Humans are inputs and outputs in the rhythms of Gaia. They may or may not be necessary. This view markedly shifts the human-centered view of traditional macrothinkers. It reminds us that our definitions, categories, and attempts to create a history of humanity are narrowly human-centered, and even as we posit universals for all space and time, we fail to see that humans are but one minor dimension of the universe. While one might expect spiritual views to be more sensitive to the Gaian perspective—Teilhard de Chardin, Steiner, Ibn-Khaldun, or even Spencer—it is still the individual and his or her transcendental that is the key not the Gaian environmental context. In this sense, a Gaian macrohistory offers the possible worldview for creating a more sustainable future for all.

While some macrohistories balance the individual and the social, others focus on the system as a whole. In the macroview, size, structure (for example, vertical/horizontal or feudal/bureaucratic arrangements), relations (person to person; person to nature; person to society) are significant and primary over individual choices and hopes. Transcendental theories, in general, focus on the individual and his (and sometimes her) relationship to the Transcendental and less on the social structures in question as, for example, in the case of Teilhard de Chardin's work.

-

-

_

GLOBAL TRANSFORMATIONS AND WORLD FUTURES - Vol. II - The Grand Patterns of Change and the Future - Sohail Inayatullah

TO ACCESS ALL THE **19 PAGES** OF THIS CHAPTER, Visit: <u>http://www.eolss.net/Eolss-sampleAllChapter.aspx</u>

Bibliography

Batra R. (1978). *The Downfall of Capitalism and Communism*, 350 pp. London: Macmillan Press (1st ed.), Dallas: Venus Books (2nd ed.). [One of the few writers to accurately predict the total collapse of communism. Convincing macrohistory of Western, Russian and Hindu civilization. A future vision of a spiritual renaissance in the early part of the this century is projected.]

Brown R. (1984). *The Nature of Social Laws*. 270 pp. Cambridge: Cambridge University Press.[Investigates the nature of social laws. What are the assumptions behind the desire of grand thinkers to argue that the social is law-like?]

Burke P. (1980). *Sociology and History*. 116 pp. London: Allen and Unwin. [Overviews where these two fields meet.]

Comte A. (1875). *Positive Philosophy* (trans. H. Martineau). London: Trubner.[The book and perspective that has outlined how the moderns think.]

Eisler R. (1996). *Sacred Pleasure*. San Francisco: HarperCollins,. [Decent macrohistory, great feminism, very readable. Brings in chaos and complexity to argue that we have moved from a partnership (the chalice) to a dominator (the blade) cultural system, and now through human agency we can move back to a partnership system. Calls for transformative knowledge. An excellent and important book.]

Etzioni A. and Etzioni-Halevy E., eds. (1973). *Social Change*, 559 pp. New York: Basic Books, [The classic on theories of social change, however, overly modernist.]

Faj A. (1987). Vico's basic law of history in Finnegan's Wake. *Vico and Joyce* (ed. D. P. Verene), pp. 22–23. New York: State University of New York Press.

Freeman C. and Jahoda M. (1978). *World Futures: The Great Debate*. London: Martin Robertson. [Reviews the various global models (quantitative and qualitative). See, in particular, essays by Sam Cole and Ian Miles on assumptions and methods, and worldviews and scenarios.]

Galt A. and Smith L., eds. (1976). *Smith, Models and the Study of Social Change*. New York: John Wiley. [Outstanding if dated overview of models of social change, particularly of the contrast between dialectical and equilibrium models. Discussion of exogenous and endogenous drivers of change.]

Galtung J., Heiestad T., and Rudeng E. (1979). On the last 2500 years in Western History: And some remarks on the coming 500. *The New Cambridge Modern History* Vol. 13, Companion Volume (ed. P. Burke), pp. 318–361. London: Cambridge University Press, [Compares the decline of the Rome and the West. Useful in gaining distance from the present by moving to history. Shows underlying structures in society as well as new forces that challenge these historical structures.]

Galtung J and Inayatullah S., eds. (1997). *Macrohistory and Macrohistorians*, 274 pp. New York: Praeger. [Moves towards a general theory of macrohistory through a comparative analysis of twenty macrohistorians. This entry draws extensively on this book.]

Goldstein J. (1988). *Long Cycles*, 432 pp. New Haven: Yale Press. [Empirical data on all sorts of economic cycles and their connection to social and political phenomena.]

Ibn-Khaldun (1967). *The Muqaddimah: An Introduction to History* (trans. F. Rosenthal), 464 pp. Princeton: Princeton University Press. [The founder of sociology writing in the fourteenth century. A must read for understanding deep social patterns. Heavily influenced Comte, Weber, and others.]

Inayatullah S. (1998). *Situating Sarkar*, 158 pp. Maleny, Australia: Ananda Marga Publications. [Focused on the theories of P. R. Sarkar.]

GLOBAL TRANSFORMATIONS AND WORLD FUTURES - Vol. II - The Grand Patterns of Change and the Future - Sohail Inayatullah

Inayatullah S. and Wildman P. (1998). *Futures Studies. Methods, Emerging Issues and Civilizational Visions*. Brisbane: Prosperity Press. [CD-Rom. Comprehensive overview of futures studies.]

Laszlo I. (1988). Footnotes to a history of the future. *Futures* 20(5) pp. 479-492. [Overviews linear and cyclical theories of change. There are upward and downward spirals. Believes that the evolutionary model is the most rewarding. The future is bright because information will allow us to better navigate the future.]

McNeill W. H. (1963). *The Rise of the West*, 896 pp. New York: Mentor. [Exhaustive world history but not macrohistory.]

Molitor G. T. T. (2000). Emerging economic sectors in the third millennium. *Foresight* **2**, 323–300. [Stunning overview of the long-term future of humanity, nonetheless, missing the social.]

Nelson R. (1991). Why capitalism hasn't won yet. Forbes November 25, 106 [Short, brilliant piece.]

Polak F. (1973). *The Image of the Future* (trans. E. Boulding). San Francisco: Jossey-Bass. [Believes that the image of the future pulls nations and civilizations. Those nations that have a vision of the future rise, other decline. A very important book on the future.]

Spencer H. (1971). *Structure, Function and Evolution*. London: Michael Joseph. [Difficult to read, but still required reading for understanding the current politics of science.]

Spengler O. (1972). *The Decline of the West* (trans. C. Atkinson). New York: Alfred Knopp. [Argues for an approach to science that is interpretive. Cultures are Spengler's unit. All cultures, like organisms, follow a life cycle of birth, growth, and decay. Believes he has discovered the truth of social change. However, he is not a positivist as he believes truth is shallow and deep. Creative macrohistory and useful as a way of examining alternative future.]

Teilhard de Chardin P. (1964). *The Future of Man* (trans. N. Denny). 319 pp. New York: Harper and Row. [Grand macrohistory with a spiritual-Christian dimension. Has an evolutionary base to it. Believes we are moving toward a bright religious future, the Omega point.]

Thompson W. I. (1971). At the Edge of History, 180 pp. New York: Harper and Row. [Critical of the rationality of planning, of attempts that try to consciously plan the future. Thompson revokes myth and the unconscious. Unites macrohistory, mythology, and visions of the future. Examines the structure of four stages in Plato, Vico, Blake, Marx, Yeats, Jung, and McLuhan. The future, like Being, is always more than we can ever know.]

Voegelin E. (1987). *The New Science of Politics*. 193 pp. Chicago: University of Chicago Press. [In this classic study, Voegelin, author of numerous books on order and history, examines the thrust to modernity. He argues that the moderns have misunderstood Christian eschatology in creating the stages of ancient, classical, and modern. Modernity misunderstands the cycle, that there is a time to be born and a time to die. Blames the tragedies of the last hundred years on this mistake, of beliefs that history can end: as Marxism, Nazism, or capitalism. A short book.]

Wallerstein I. (1986). World system and civilization. *Development: Seeds of Change*, 1/2, pp. 114-119. [Examines the futures of the world system, concluding that the world capitalist system will transform into a world socialist system that will be global and egalitarian. Wallerstein's other categories are minicultural systems and empires. The former get subsumed by the latter and the latter are not stable. It is only the world capitalist system that has managed to consume all opposition. But like other systems before it, it, too, will transform.]

Watson B. (1958). *Ssu-Ma Ch'ien: Grand Historian of China*. 221 pp. New York: Columbia University Press. [Excellent interpretation of the ancient Chinese philosopher Ssu-Ma Ch'ien. Does the Tao operate in history, what are the stages in history? For Ssu-Ma Ch'ien, it was the sage-king that intervened when the Tao degenerated. History and future are thus cyclical with the rise and fall of the Tao. When wisdom and learning separate, then society degenerates.]

Biographical Sketch

Sohail Inayatullah is visiting professor, Center for Futures Studies, Tamkang University, Taiwan; adjunct professor, The University of the Sunshine Coast; visiting academic, the Communication Centre, Queensland University of Technology; and professor, International Management Centres Association,

GLOBAL TRANSFORMATIONS AND WORLD FUTURES - Vol. II - The Grand Patterns of Change and the Future - Sohail Inayatullah

University of Action Learning. In 1999, he was UNESCO Chair, the University of Trier, Germany and Tamkang Chair, Tamkang University, Taiwan.

He is also a fellow of the World Futures Studies Federation and a fellow of the World Academy of Art and Science. He is the coeditor of the *Journal of Futures Studies* (www.ed.tku.edu.tw/develop/JFS) and associate editor of *New Renaissance* (www.ru.org). He is on the editorial board of *Futures, Development* and *Foresight*. Among his authored/edited books are: *Macrohistory and Macrohistorians; Situating Sarkar; Understanding Sarkar; Transcending Boundaries; The University in Transformation, Judicial Foresight*, and *Transforming Communication*. His CD-ROMs include: *Futures Studies: Methods, Issues and Civilizational Visions* and *The Views of Futurists*—Volume 4 of *The Knowledge Base of Futures Studies*. He is the author of over 200 journal articles, book chapters, and magazine pieces.